

# **Neoadjuvant Therapy for Rectal Cancer: OVERRATED**



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General Surgery Grand Rounds  
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# American Joint Committee on Cancer (AJCC—7<sup>th</sup> Edition) Rectal Cancer TNM Staging

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## Primary Tumor (T)

- TX** Primary tumor cannot be assessed
- T0** No evidence of primary tumor
- Tis** Carcinoma in situ; intraepithelial or invasion of lamina propria<sup>1</sup>
- T1** Tumor invades submucosa
- T2** Tumor invades muscularis propria
- T3** Tumor invades through the muscularis propria into pericolic/rectal tissues
- T4a** Tumor penetrates to the surface of the visceral peritoneum<sup>2</sup>
- T4b** Tumor directly invades or is adherent to other organs or structures<sup>2,3</sup>

## Regional Lymph Nodes (N)<sup>4</sup>

- NX** Regional lymph nodes cannot be assessed
- N0** No regional lymph node metastasis
- N1** Metastasis in 1–3 regional lymph nodes
- N1a** Metastasis in one regional lymph node
- N1b** Metastasis in 2–3 regional lymph nodes
- N1c** Tumor deposit(s) in the subserosa, mesentery, or nonperitonealized pericolic or perirectal tissues without regional nodal metastasis
- N2** Metastasis in 4 or more regional lymph nodes
- N2a** Metastasis in 4–6 regional lymph nodes
- N2b** Metastasis in 7 or more regional lymph nodes

## Distant Metastasis (M)

- M0** No distant metastasis
- M1** Distant metastasis
- M1a** Metastasis confined to one organ or site (for example, liver, lung, ovary, nonregional node)
- M1b** Metastases in more than one organ/site or the peritoneum

# Stage-Related Prognosis

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Stage	Grouping	Five-Year Survival
I	T1-2, N0, M0	>90%
IIA	T3, N0, M0	60%–85%
IIB	T4, N0, M0	60%–85%
IIIA	T1-2, N1, M0	55%–60%
IIIB	T3-4, N1, M0	35%–42%
IIIC	T-1-4, N2, M0	25%–27%
IV	T1-4, N0-2, M1	5%–7%

# Treatment of Locally Advanced Rectal Cancer

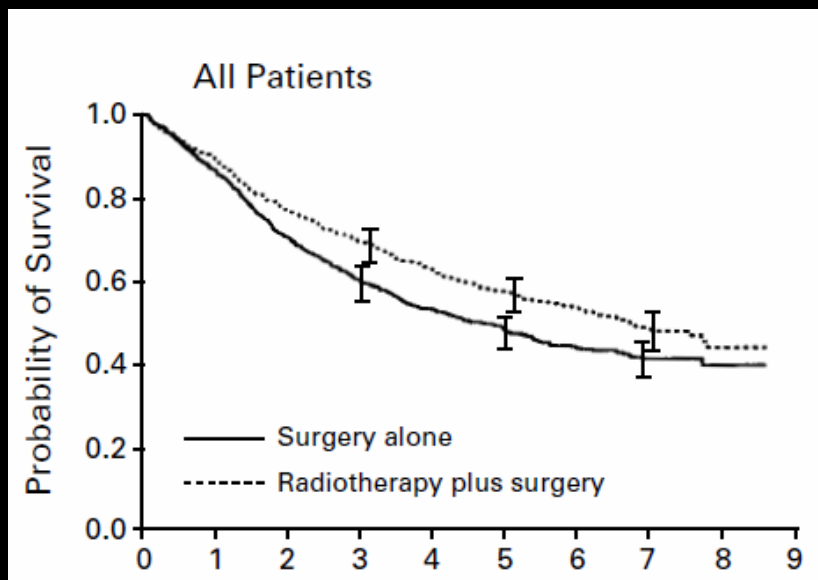
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- **Surgical**
  - Low anterior resection (LAR)
  - Abdominoperineal resection (APR)
  - Total mesorectal excision (TME)
    - Gold standard for middle and lower third rectal cancer
- **Adjuvant Chemoradiotherapy**
  - Stage II and III rectal cancer

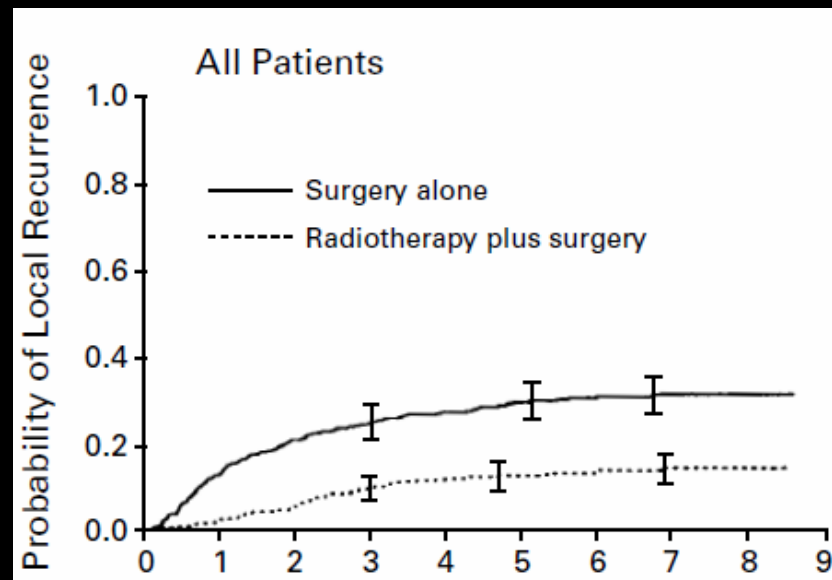
# Swedish Rectal Cancer Trial

- RCT
- 1168 patients total—5 year follow-up
  - 583 pre-op radiotherapy vs. 585 surgery alone

Increased 5-year overall survival  
58% vs. 48% ( $p=0.004$ )



Decreased local recurrence  
11% vs. 27% ( $p<0.001$ )



# Swedish Rectal Cancer Trial— Study Limitations

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- No TME performed
- No post-operative adjuvant therapy
- Irradiated group
  - Increased bowel movements
  - Incontinence
  - Urgency
  - Soiling

# Meta-Analysis—14 RCTs

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- 6426 patients total
- Neoadjuvant radiotherapy vs. surgery alone
- Increased 5-year overall survival (OR, 0.84, P=0.03)
- Decreased local recurrence (OR, 0.49, p<0.001)
- Significantly increased post-operative complications (57.4% vs. 42.3%, p<0.001)
  - Sepsis, anastomotic leak, and intestinal obstruction

# **Meta-Analysis—14 RCTs**

## **Study Limitations**

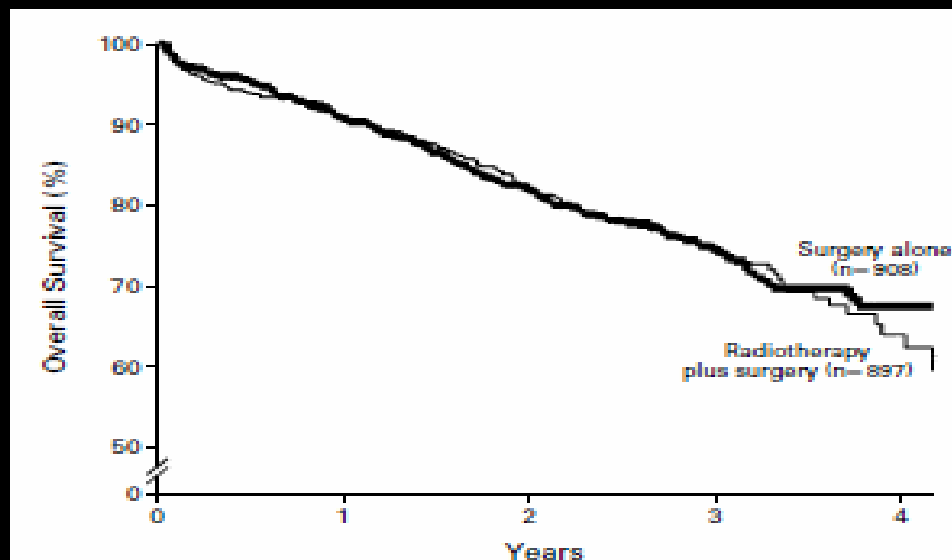
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- **Differences in the baseline severity of disease**
- **Variations in irradiation techniques and doses**
- **Substantial variability between surgical procedures**
- **“magnitude of the overall effect is small but clinically relevant”**



# Pre-op Radiotherapy with TME- The Dutch Colorectal Cancer Group

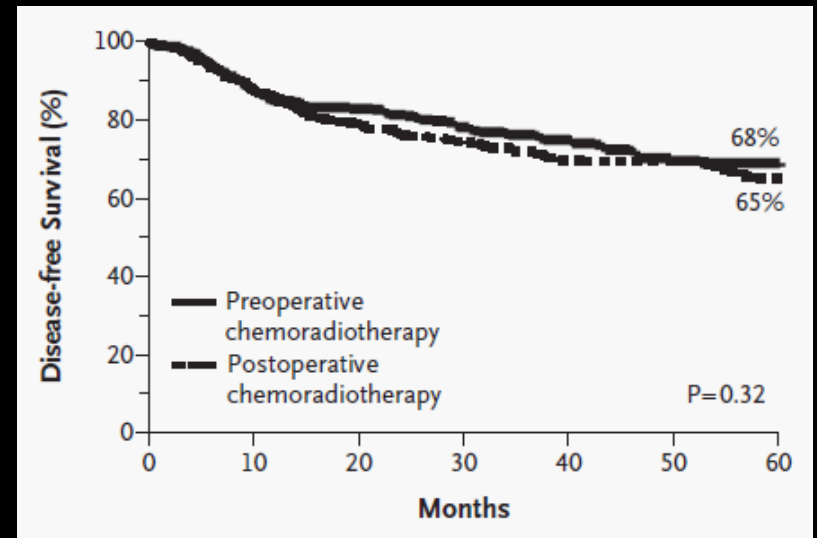
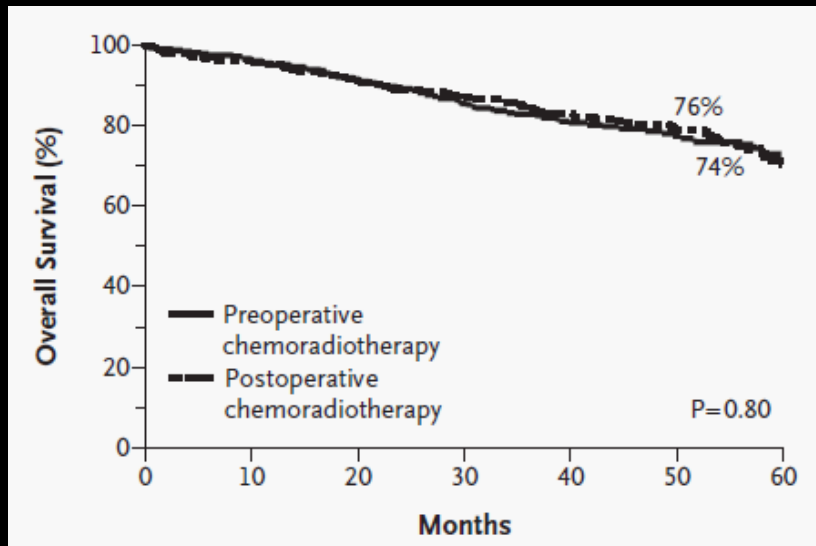
- Multicenter, RCT—1861 pts., 2-yr follow-up
  - Pre-op Radiotherapy and TME vs. TME alone
- No overall survival benefit (82% vs. 81.8%,  $p=0.84$ )
- No difference in distant and overall recurrence rates
- Increased blood loss (1000mL vs. 900mL,  $p<0.001$ ) and perineal wound complications (26% vs. 18%,  $p=0.05$ )



*Kapiteijn, et. al. NEJM, 2001.*

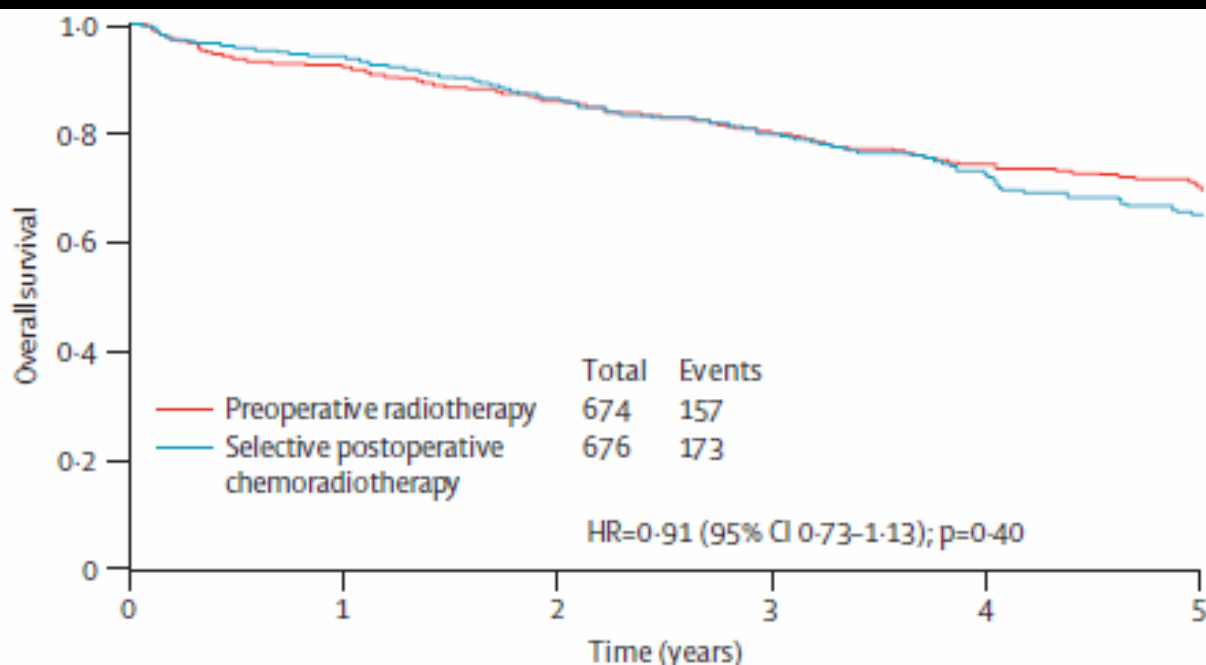
# Neoadjuvant Vs. Adjuvant Chemoradiotherapy- German Rectal Cancer Study Group

- RCT—823 patients
  - 421 pre-op vs. 402 post-op chemoradiotherapy
  - 5-FU and identical irradiation
  - TME performed in all patients
- No significant overall or disease-free survival



# Preop vs. Selective Post-op Chemoradiotherapy- Multicenter, RCT

- 80 centers, 4 countries—1350 patients
  - Post-op chemoradiotherapy for pts. with surgically positive margins
  - TME in 92% of cases



- No overall survival benefit

# **Preop vs. Post-op Chemoradiotherapy- Multicenter, RCT**

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- **RCT—267 patients, T3-T4 or node +
  - Leucovorin and 5-FU**
- **No 5-year overall survival benefit (74.5% vs. 65.6%,  $p=0.693$ )**
- **No difference in locoregional recurrence (10.7% for both arms,  $p=0.693$ )**
- **Increased grade 4 diarrhea (24% vs. 13%)**

# CONCLUSIONS

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- **No benefit of neoadjuvant therapy in 5-year overall survival**
- **Increased complications from neoadjuvant radiotherapy**
- **Should perform TME with locally advanced rectal cancer**

**Neoadjuvant therapy is overrated!!!**