

1 **Outcomes of Adenotonsillectomy in Children with Down Syndrome and Obstructive Sleep**
2 **Apnea**

3
4 Arjan S. Deol, BA ¹

5 Grace Perry, BS ¹

6 Samantha Bothwell, MS ³

7 Ryan Souther

8 Rebecca Bernstein, BS ⁴

9 Liz Maastricht, BS ⁴

10 Kristine Wolter-Warmerdam, PhD ABD, MA ⁴

11 Taylor Curry, MD ⁶

12 Kaci Pickett Nairne, MS ³

13 Nicole Baumer, MD ^{4,5}

14 Norman R Friedman, MD ^{1,2}

15
16 *Affiliations:* ¹Department of Otolaryngology – Head and Neck Surgery, University of Colorado
17 School of Medicine, Aurora, CO; ²Section of Pediatric Otolaryngology, Children’s Hospital
18 Colorado, Aurora, CO; ³The Center for Research in Outcomes for Children's Surgery, University
19 of Colorado School of Medicine, Aurora, CO, USA; ⁴Anna and John J. Sie Center for Down
20 Syndrome, Children’s Hospital Colorado, Aurora, CO; ⁵Department of Pediatrics, University of
21 Colorado School of Medicine, Aurora, CO; ⁶Department of Clinical Pediatrics, Indiana School of
22 Medicine, Indianapolis, IN

29 **Background:** Obstructive sleep apnea (OSA) is common co-occurring condition in children with
30 Down syndrome (DS) with an estimated prevalence of 66-76%,^{1,2} compared to only 1-4% of the
31 pediatric population without DS.³ The American Academy of Pediatrics (AAP) recommends an
32 adenotonsillectomy (T&A) as first-line treatment for pediatric OSA.⁵ In an investigation of 75
33 children with DS, only 12% had a postoperative OAHl of less than one event an hour while 50%
34 had an OAHl > 5 within six months after surgery.⁹ The objective of the current investigation is to
35 update postoperative outcomes at CHCO with a larger cohort.

36 **Methods:** A retrospective chart review was conducted for children with DS who underwent a
37 T&A at Children's Hospital Colorado (CHCO). All patients had preoperative and postoperative
38 PSG within 12 months of surgery. Primary outcomes included changes in obstructive apnea-
39 hypopnea index (OAHl), mean oxygen saturation (SpO₂), and end-tidal carbon dioxide (EtCO₂).
40 Analyses were performed using Wilcoxon Rank-Sum and Fisher's Exact tests, and linear
41 regressions adjusted for age, sex, and BMI percentile.

42

43 **Results:** A total of 418 children underwent a T&A of which 236 met inclusion criteria.
44 Demographic information is available in Table 1. Following T&A, children demonstrated
45 marked improvements in their sleep & breathing patterns as well as gas exchange. The total sleep
46 time increased by 17.7%. The OAHl decreased from 14.5 to 8.4, reflecting a median reduction of
47 42%. SpO₂ increased by 1.2%, while SpO₂ nadir improved by 8.5%. Additionally, mean end-
48 tidal CO₂ decreased by 4.3% and mean heart rate declined by 7.5%. (see Table 2). The effect of
49 T&A varied by preoperative OSA severity. Among children with severe OSA, 75% of patients
50 experienced >50% reduction in OAHl, with only 4% showing postoperative worsening. Those
51 with moderate OSA had a 61% rate of substantial improvement and 18% worsened (see Figure
52 1).

53

54 **Discussion:** T&A in children with Down syndrome and OSA was associated with improvements
55 in OAHl, oxygenation, and sleep duration, with the greatest benefit observed in those with
56 moderate or severe preoperative OSA. These findings support T&A as an effective first-line
57 therapy in this population while highlighting the need for postoperative PSGs to evaluate
58 persistent OSA.

59

60

61

Table 1- Demographics and Comorbidities

	T-A only (N=236)
Age at Surgery (y)	5.1218 [0.5, 18.14]
Sex	
Male	123 (52.1%)
Female	113 (47.9%)
Race	
White	143 (60.6%)
Black/African American	14 (5.9%)
Asian	4 (1.7%)
Other	60 (25.4%)
Unknown	3 (1.3%)
More than one race	12 (5.1%)
Prematurity	72 (31.6%)
Comorbidities	
Pulmonary Hypertension	70 (29.7%)
History of Pnuemonia	2 (0.8%)
Hypothyroidism	93 (39.4%)

62

63

64 **Table 2- Changes in Polysomnographic Measures**

	T-A only (N=236)
OAHl	
Pre	14.51
Post	8.41
Percent Change (%)	-42.04

Mean EtCO2

Pre	44.64
Post	42.72
Percent Change (%)	-4.30

Mean SpO2%

Pre	92.49
Post	93.6
Percent Change (%)	1.20

Min SpO2%

Pre	78.5
Post	85.16
Percent Change (%)	8.48

Mean Heart Rate

Pre	92.74
Post	85.77
Percent Change (%)	-7.52

Total Sleep Time

Pre	332.78
Post	391.66
Percent Change (%)	17.69

Figure 1- OSA Severity Pre and Post T-A vs T-A + SGP

Preoperative OSA Category (n)	Preoperative OAHl mean (sd)	Patients with >50% reduction in postoperative OAHl	Patients with Increased OAHl
T-A only			
OAHl <1 (16)	.43 (.31)	4 (25%)	10 (62.5%)
Mild (54)	2.93 (1.13)	20 (37.04%)	25 (46.3%)
Moderate (49)	7.16 (1.55)	30 (61.22%)	9 (18.37%)
Severe (93)	27.53 (9.25)	70 (75.27%)	4 (4.3%)

66

67

68

69

70

71

72

73

74

75