

Certificate Training Program Session 17

Welcome! Before We Begin:

Sign-in at the back
Pick up agenda
Sit with your CTP team at your assigned table

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CALL FOR APPLICATIONS

CERTIFICATE TRAINING PROGRAM (CTP)

Learn | Develop | Lead

A professional development program for inter-professional leadership teams of outpatient clinics, hospital units, or multi-site clinical programs.

- Yearlong course combining classroom sessions, coaching, and completion of a process improvement project
- Focus on leadership, change management, team development, patient safety, quality and process improvement

Application & letters of support due April 14, 2025

CLINICAL EFFECTIVENESS & PATIENT SAFETY (CEPS) GRANT

Seeding Promising Ideas

Providing funding to faculty, staff, and trainees up to \$25,000 for initiatives at CHCO or UCH with a focus on:

- Innovative process improvements
- Patient safety outcomes
- Increasing healthcare value
- Implementing evidence-based practices

Letter of Intent due April 14, 2025

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Today's Learning Objectives

- 1 Recognize how quality impacts the financial performance of the organization
- 2 Understand how healthcare is funded in the U.S.
- 3 Understand the growing move toward value-based payment
- 4 Recognize the complex nature of our workforce
- 5 Develop strategies to deal with complexity
- 6 Understand how leaders can address implicit bias and incivility



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CU Medicine Dermatology: Intervention Plan

- Aim Statement
- Inputs
 - Voice of the customer
 - Affinity diagram/Process Map
 - Pre-mortem
- Proposed Intervention
- Team:
 - Whitney High, MD, JD, MEng
 - Ryan Harding



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Impact of Quality & Safety on Healthcare Finance

Jennifer Wiler, MD MBA

Tenured Professor, University of Colorado School of Medicine

Co-Founder, UCHealth CARE Innovation Center



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Objectives

- Recognize how quality impacts the financial performance of healthcare organizations and providers.
- Understand how healthcare is funded in the U.S.
- Understand the (previous?) growing move toward value-based payment.



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Case Study

- 69 y. o. female with a past medical history of CHF, asthma, HLD, CKD presents to the OR for sigmoid colectomy in the setting of perforated diverticulitis.
- Index procedure was “sigmoidectomy primary anastomosis, diverting loop ileostomy, and splenectomy”
- Attending Op note:
“...The left lower quadrant was examined and the sigmoid colon was noted to be inflamed and adherent to the lateral abdominal wall. The colon was mobilized along the White line of Toldt. A linear stapler was used to transect the colon proximal to the area of inflammation and at the distal extent of the diseased area.”
- Resident OR documentation (outside of the Op Note) states:
“...Minimal purulent peritonitis...”



Surgical Site Infection Impact

- Increase hospital LOS
 - 8.5-day (non-elective admissions)
 - 7.8-day (elective admissions)
- Increased cost
 - \$20,890 (non-elective admissions)
 - \$18,410 (elective admissions)
- 1% payment reduction for CMS HAC Program*



PATOS Requirements

PATOS: Present at Time of Surgery	
Documentation needed to capture PATOS	Alone, does not meet the definition of PATOS
<ul style="list-style-type: none"> • Abscess(es) • Purulence/pus/phlegmon • Feculent peritonitis • Ruptured/perforated appendix • Interloop abscess 	<ul style="list-style-type: none"> • Diverticulitis • Peritonitis • Appendicitis • Positive culture or pathology report from surgical specimen • Mention of rupture or perforation of colon • Fecal spillage, enterotomy/nicked bowel during procedure • Note of inflammation, necrosis, or gangrene • Contaminated trauma cases (e.g., fresh gunshot wound to abdomen) • Wound class (e.g., contaminated or dirty)

PATOS: Evidence of infection visualized during the surgical procedure to which the SSI is attributed, AND Infection must be noted intraoperatively and documented within the narrative portion of the operative note



“The Rules Stink”



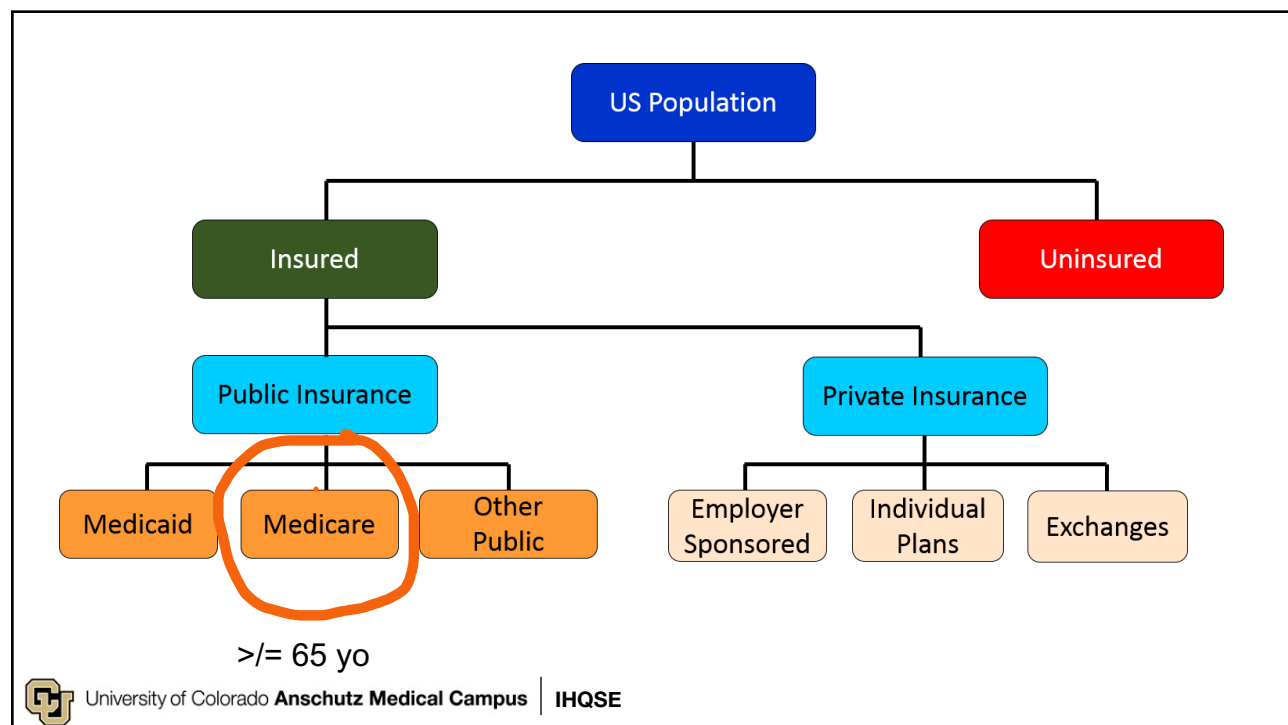
- Tedious
- Confusing
- Don't Let Us Take Care of Patients



Move On...

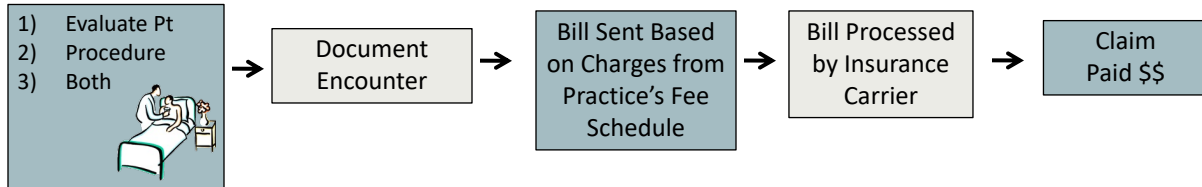


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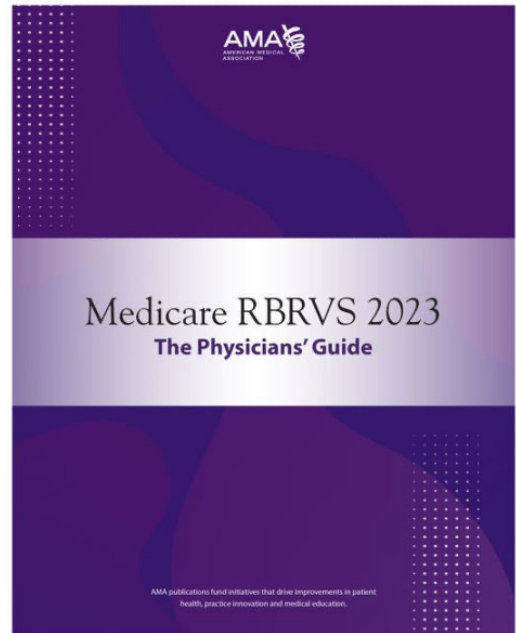
Payment Policy System Pre-1992



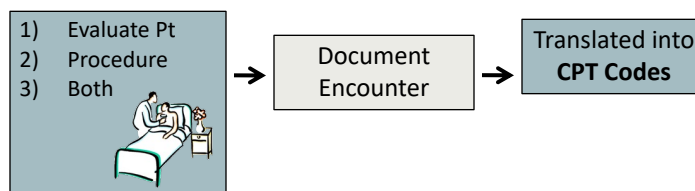
The screenshot shows the CMS.gov website. At the top, there is a navigation bar with links: Home, About CMS, Careers, Newsroom, FAQ, Archive, Share, Help, Email, and Print. Below this is a search bar with the text "Learn about your healthcare options" and a search button. The main content area features a large banner for "Better Care" with the text "Your partner in delivering coordinated care." and a "Read More" button. To the right of the banner is a "CMS News" section with several headlines: "Delaying ICD-10 compliance date", "Estimated 32.5 Million Use Free Preventive Benefits in 2011", "Record-breaking: over \$4 billion recovered by fraud efforts", "Giving consumers access to more details about hospital infection rates", and "Millions with Medicare enjoyed lower costs and better benefits in 2011". Below the banner are three buttons: "Better Care", "Better Health", and "Lowering Health Care Costs". At the bottom, there is a section titled "CMS Provides Health Coverage for 100 Million People..." with a "Medicare.gov" logo and a link to "Information for people with Medicare, Medicare open enrollment, and benefits." On the right, there is a "Stay Connected with CMS" section with social media icons for Facebook, Twitter, YouTube, and RSS.

Resource-Based Relative Value Scale (RBRVS)

- 1970's Harvard study initiated
 - Published in 1979, 1984, 1985
- Omnibus Budget Reconciliation Act of 1989
 - Created physician fee schedule
 - Took effect 1992
- Medicare payments
 - Payments for services based on resource costs needed to provide the service



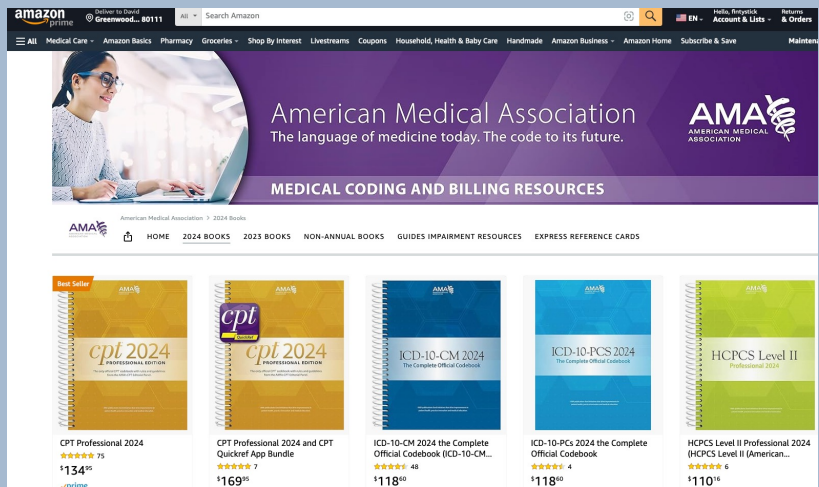
How Do Providers Get Paid Post RBRVS



CPT Codes

Current Procedural Terminology

- Describe healthcare services provided by “eligible professionals”
 - Clinical providers: physician, APP, DPM, etc)
- Developed by AMA CPT editorial panel
 - Justify medical necessity (ICD-10 dx)
- 2 Types
 - Evaluation & Mgt Codes (“E & M Codes”)
 - Procedure codes



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How Much is a Given CPT Code Worth (\$)?

Depends on Resource-Based Relative Value Scale (RBRVS) “Inputs”

RBRVS Inputs

Used to Determine “Resource Cost” of Each Service (CPT Code)

1. Physician Work (wRVU)
 - Cognitive
 - Procedural
2. Practice Expense
 - Supplies, Billing, Collections
 - Support Staff, Payroll
3. Professional Liability Insurance

ucbahealth

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RBRVS Equation

Example: ER Patient in AZ with Abd Pain,
Dx as Kidney Stone (CPT Code 99284)

Work RVUs
+ Practice Expense RVUs
+ Liability Insurance RVUs

Total RVUs for a given CPT code

(Work RVUs) x (Work GPCI)
+ (Practice Expense RVUs) x (PE GPCI)
+ (Liability Insurance RVUs) x (PLI GPCI)
= Total RVUs

(RVUTotal) x (Geographic Adjust) x (Conv Factor)
= Medicare Payment (\$) per CPT Code

(2.56)(1.000) + (0.62)(0.983) + (0.22)(0.913)
= 3.37 Total RVUs

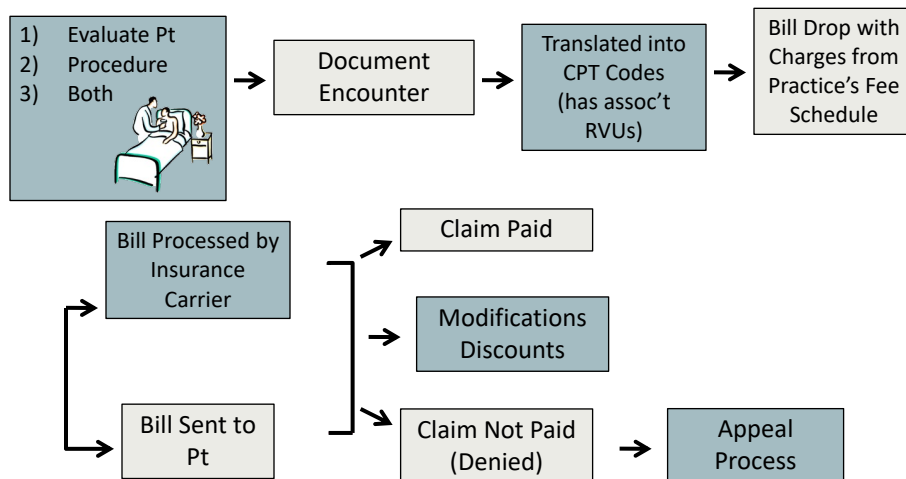
(Total RVUs) x (Conversion Factor) = Medicare Payment \$
(3.37) x (\$38.8872) = \$131.05 Phoenix, AZ



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How Do Doctors Get Paid Today



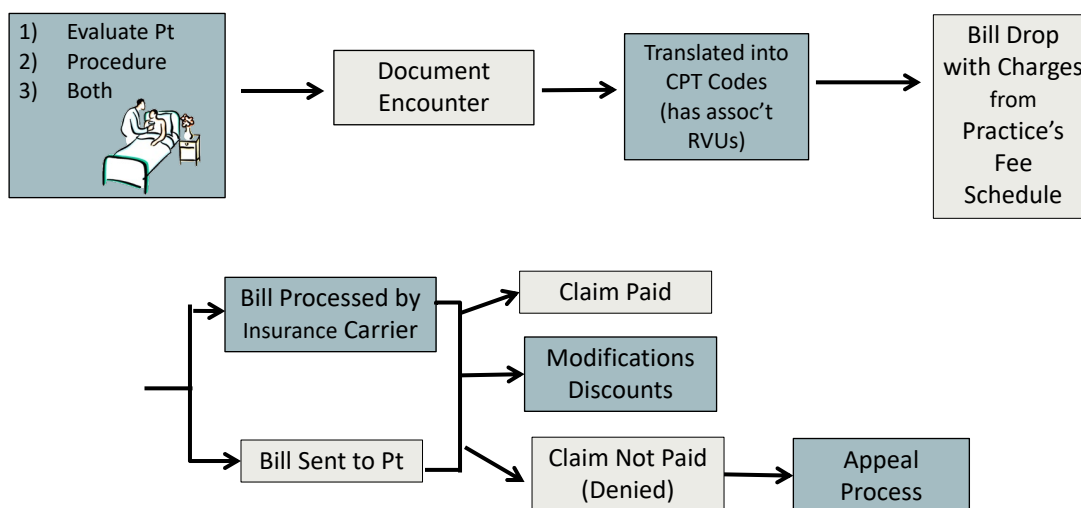
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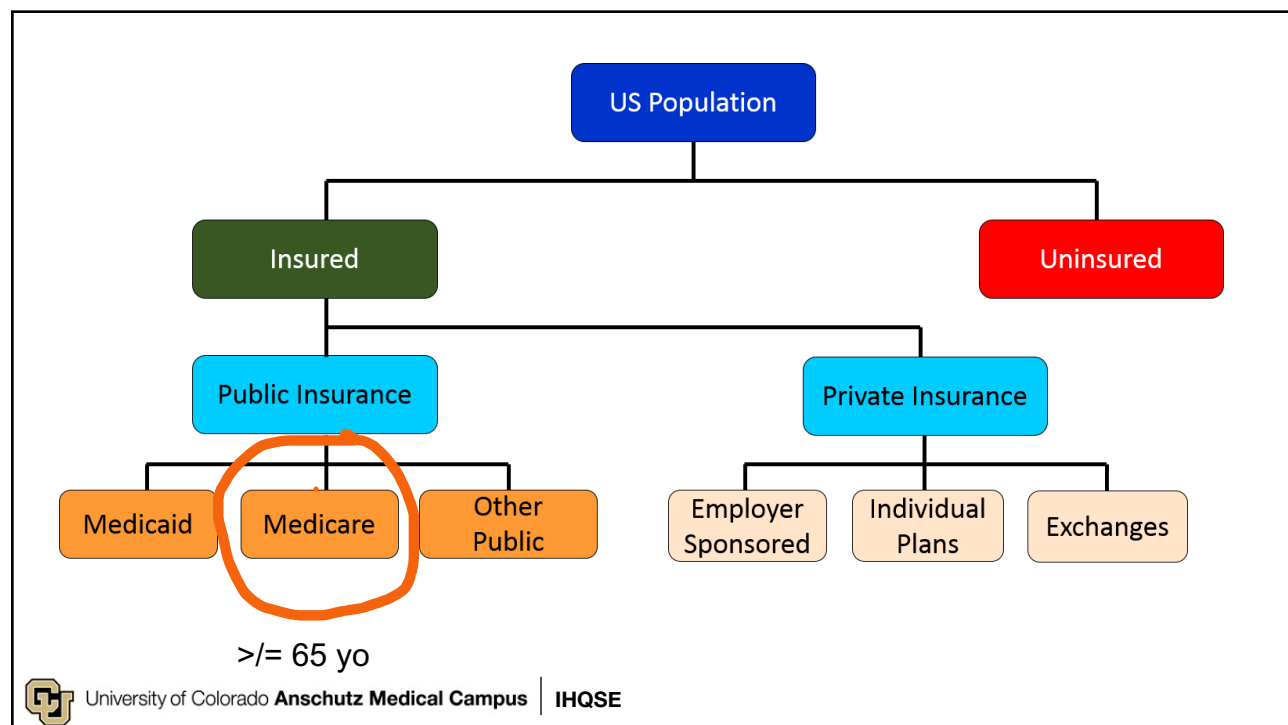
How to Find “Hidden” \$

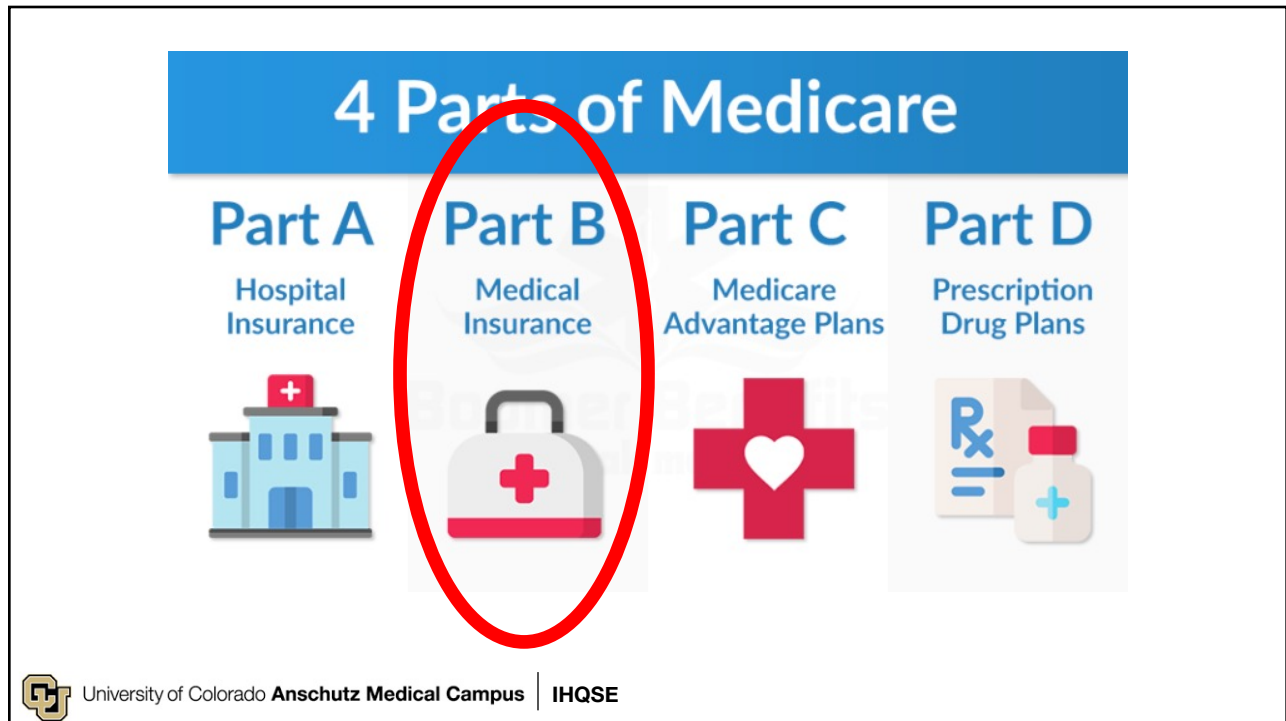


How to Find “Hidden” \$’s

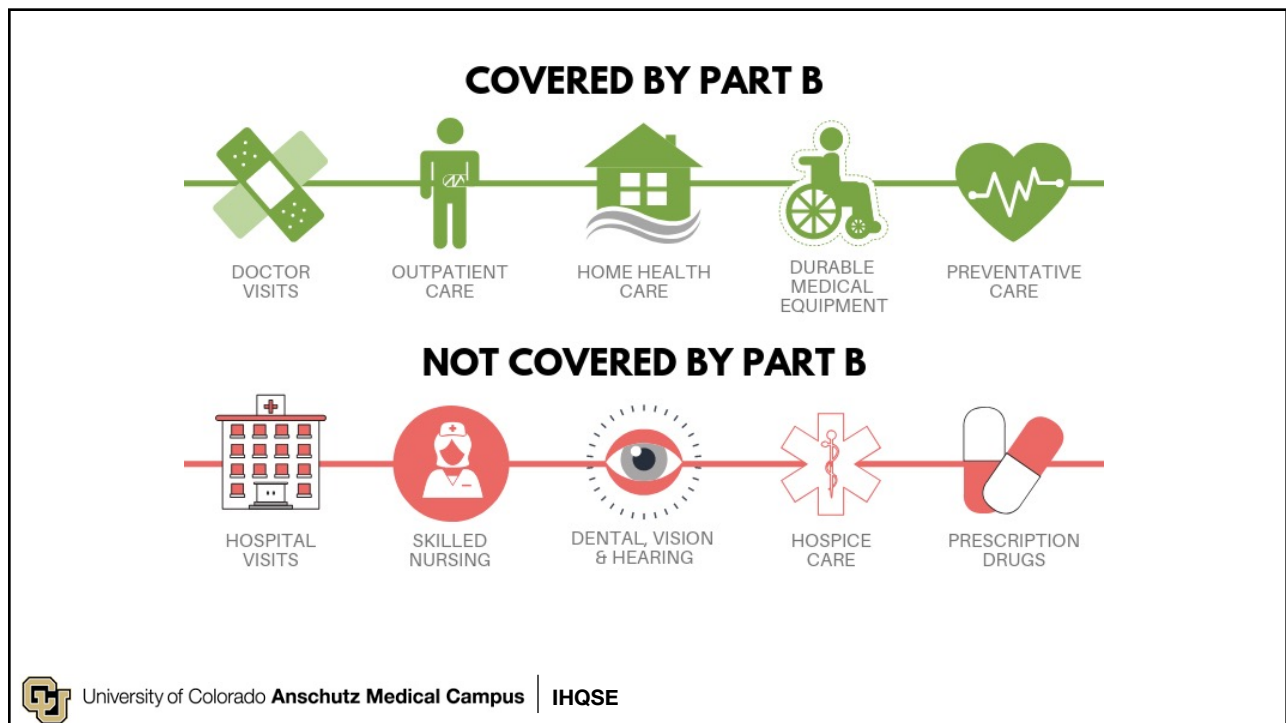


“Fee for Service”

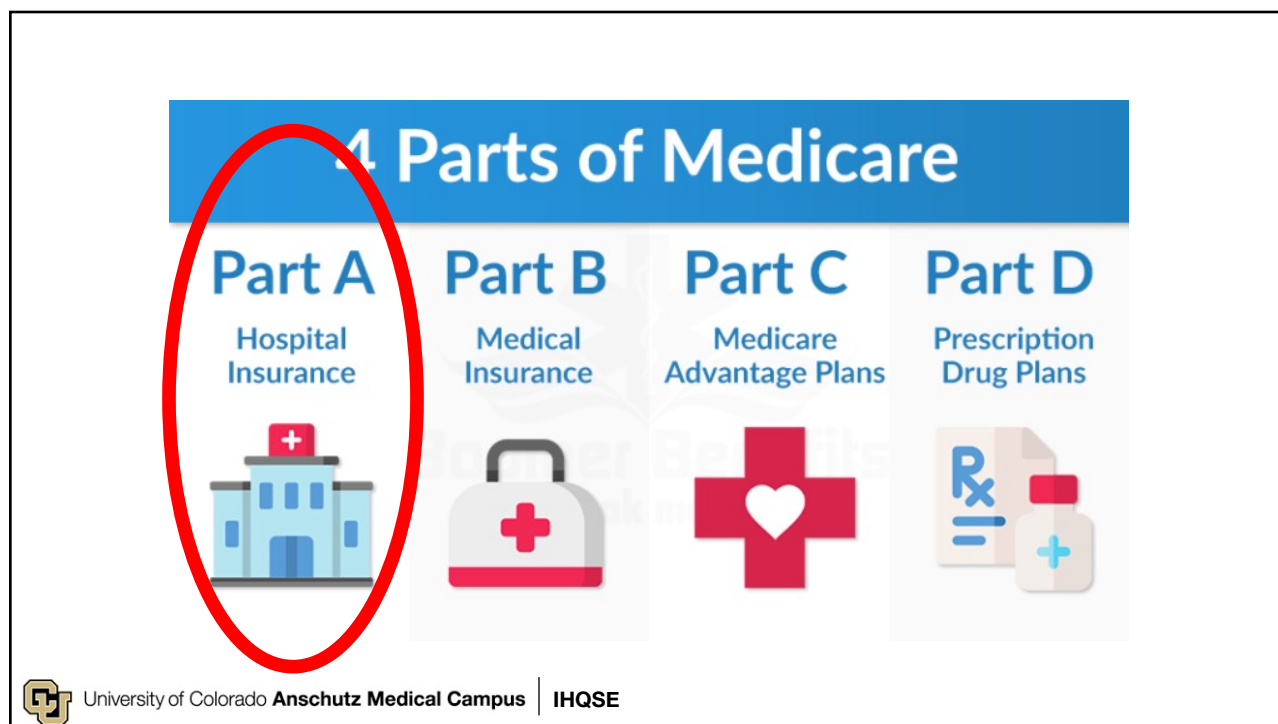




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How Are Inpatient Payments Determined?

Role of Case Mix Index (CMI)

CMI = average of relative weights for all DRGs in a facility's population.

Relative weights are assigned to each DRG as an indicator for how many hospital resources were consumed for the treatment of that diagnosis. Resources can include:

- Length of stay
- Surgery/procedures
- Implant costs
- Pharmacy

MS-DRG	CMS GMLOS	Relative Weight
534: Fractures of Femur w/o MCC	2.9	0.7755
165: Major chest procedures w/o CC/MCC	2.9	1.8524
462: Bilateral or Multiple Major Joint Procedures of lower extremity w/o MCC	2.9	3.1941
460: Spinal fusion except cervical w/o CC/MCC	2.9	4.0375

Provider documents patient diagnosis and care in Epic throughout patient stay.

Concurrently, **CDI** reviews EHR and queries providers if there is an opportunity to indicate higher severity or conflicting documentation

After discharge, **Coding** assigns ICD-10 codes based on provider documentation

ICD-10 codes are processed through a **grouping software** that assigns a DRG. It considers principal and additional diagnoses, principal and additional procedures, sex, and discharge status.

We submit a bill to the **insurance company** with the DRG; this dictates how we will be reimbursed.

Assuming no denials, we receive **payment** from insurance company.

[CMS: ICD-10-CM/PCS MS-DRG v37.0 Definitions Manual](#)

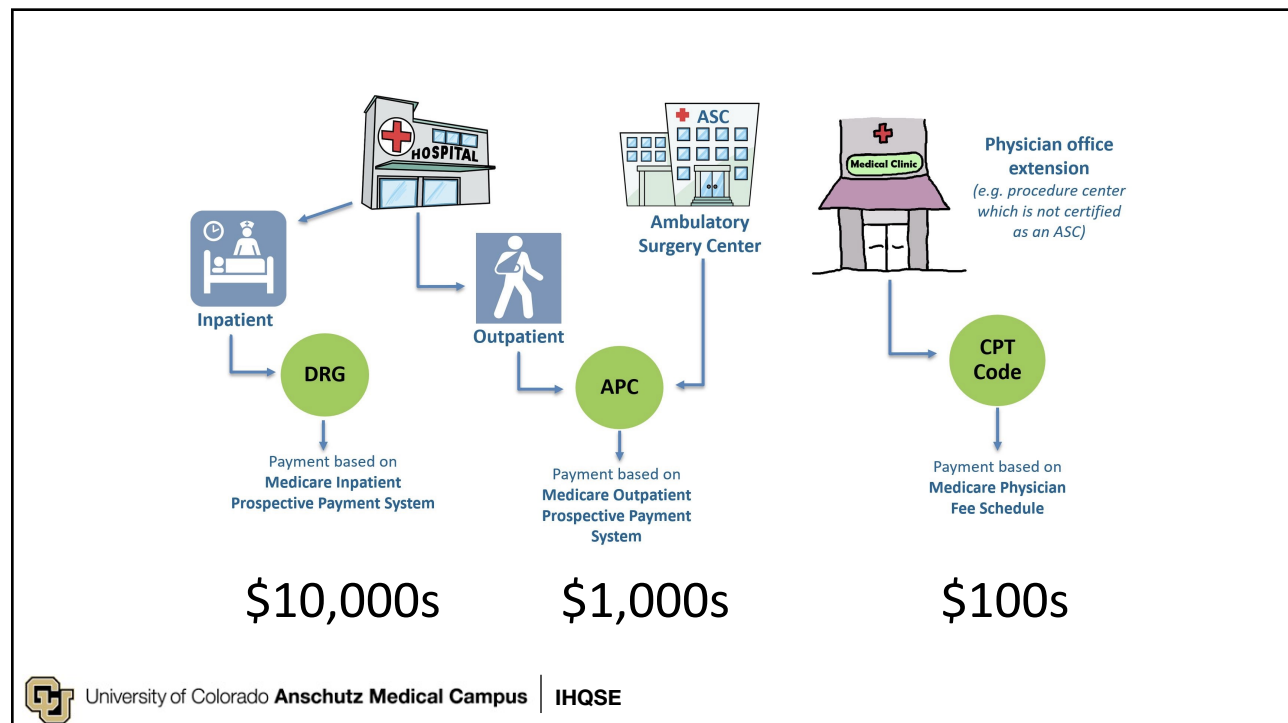
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Pick Your Code

Service	Description	Code	Payment	Program	Form
"Professional"	Provider "work"	CPT	Medicare Part B		CMS 1500
Ambulatory Care (includes ED)		APC	Medicare A	OPPS	UB 4 form
Inpatient		DRG	Medicare A	IPPS, LTCH PPS	UB 92 form
ASC	Facility		Medicare A	<u>OPPS/ASC</u>	
Ambulance				Ambulance Fee Schedule	
DME, Prosthetics			Medicare Part B		
Pharmaceuticals		HCPCS			

Other Codes - ICD-10 (Diagnosis)

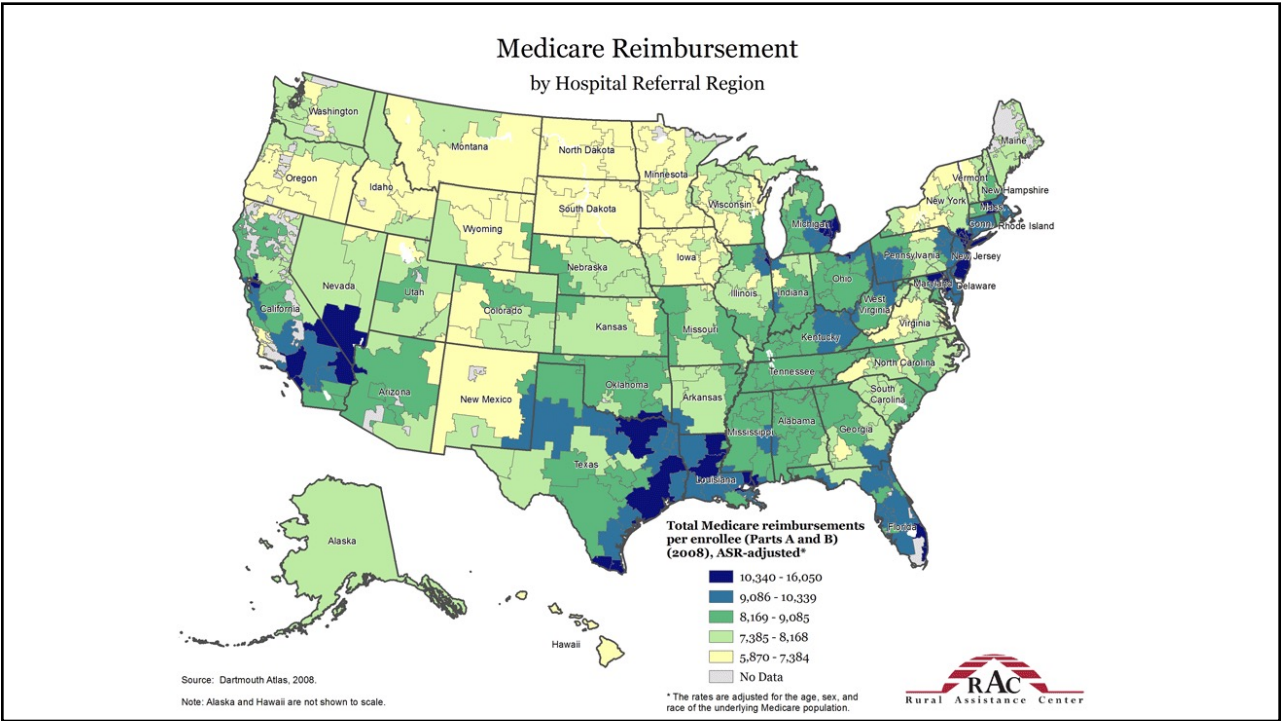
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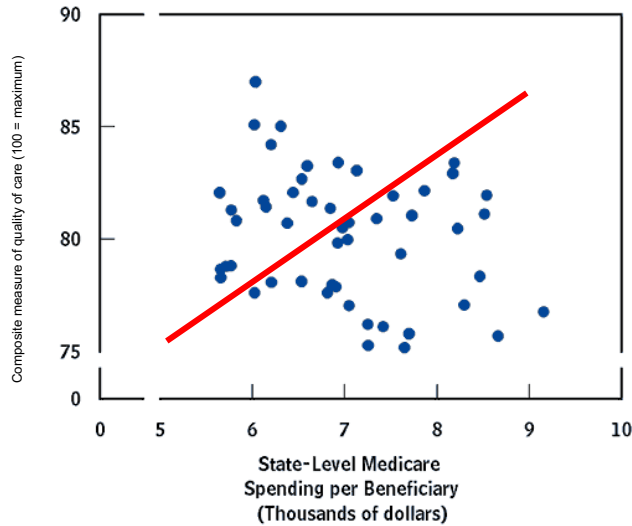
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Cost ≠ Quality; Variations by State

Relationship Between Quality of Care and Medicare Spending, by State, 2004

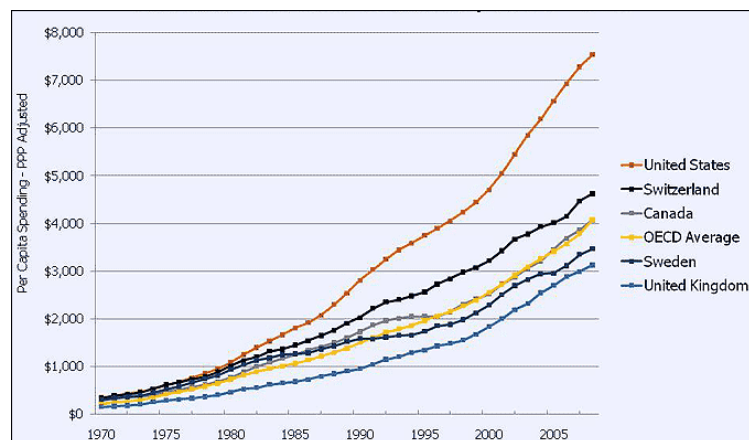


Source: Congressional Budget Office based on data from the Centers for Medicare and Medicaid Services and the Agency for Healthcare Research and Quality

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Healthcare Spending “Out of Control”

Growth in Total Health Expenditure Per Capita,
U.S. and Selected Countries, 1970-2008

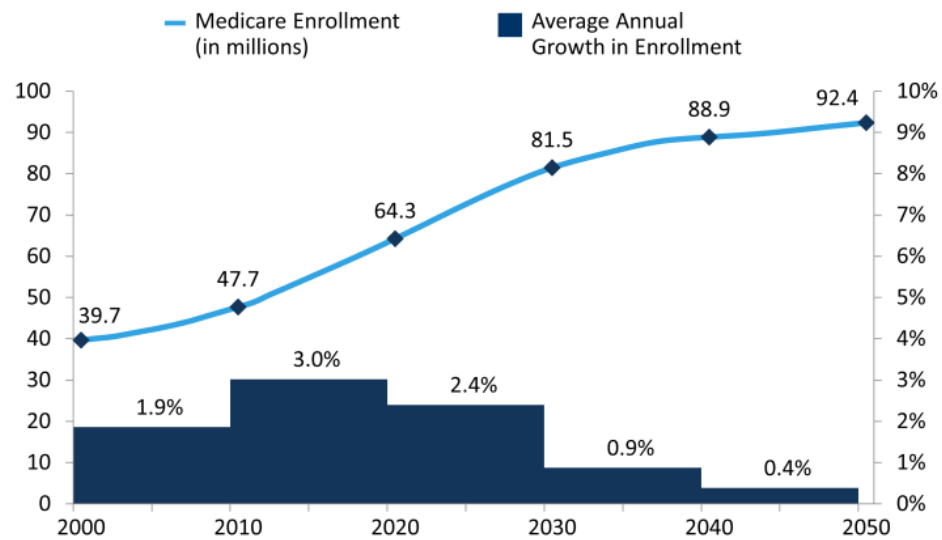


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Kaiser Family Foundation, 2014

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Projected Change in Medicare Enrollment, 2000-2050



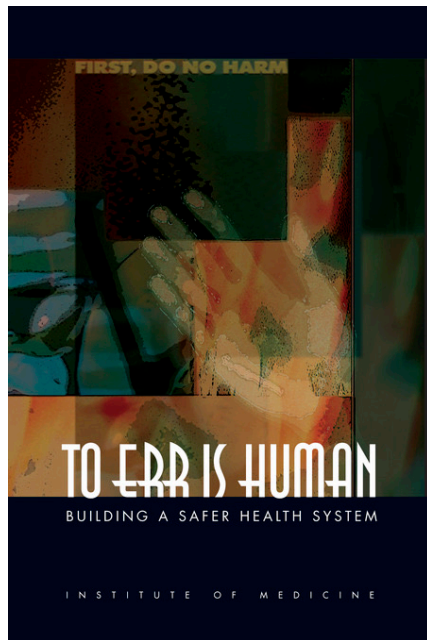
SOURCE: 2013 Annual Report of the Boards of Trustees of the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds.



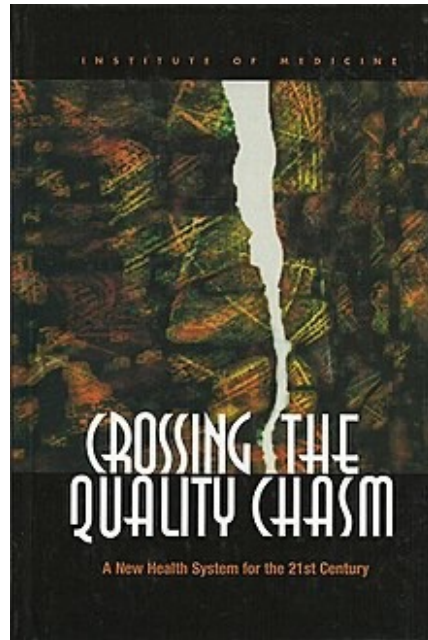
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1999



2001

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The Patient Protection and Affordable Care Act and the Health Care and Education Reconciliation Act

Text of the Patient Protection and Affordable Care Act (P.L. 111-148)

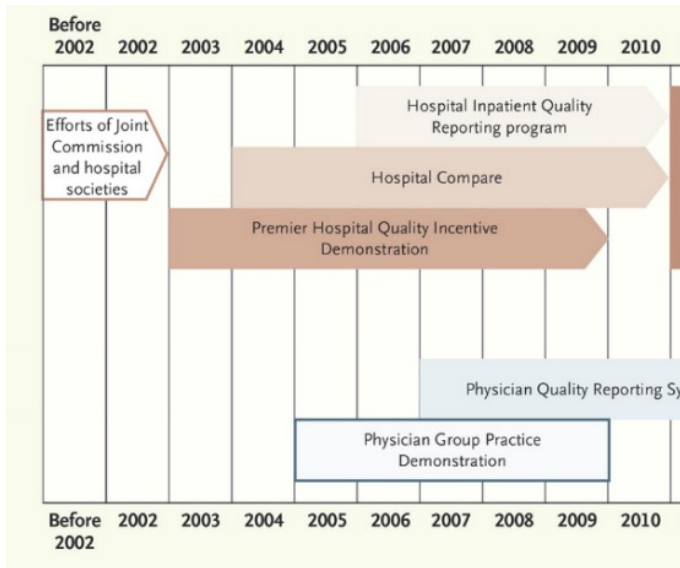
Text of the Health Care and Education Reconciliation Act (P.L. 111-152)



2010

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CMS Quality Incentive Programs



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NEJM, 2013

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ACA "Value Based Purchasing" Programs

- Value based modifier
- PQRS (physician quality reporting system)
- Episode payments
- Global payments
- **Accountable Care Organizations (ACO)
- eRx
- Meaningful Use
- Gainsharing



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Forbes
INSIGHTS

GETTING FROM
VOLUME TO VALUE
IN HEALTH CARE

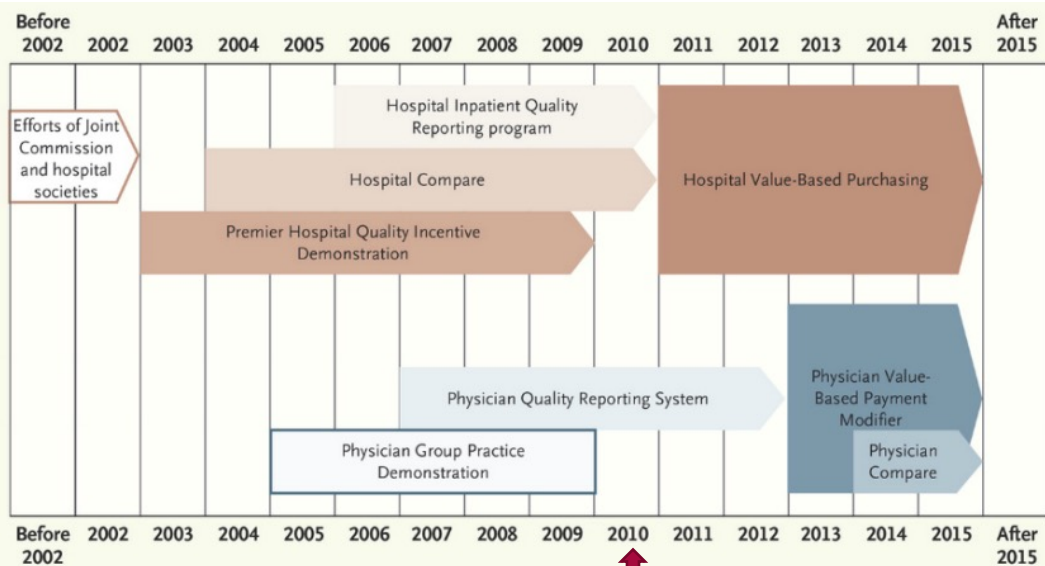
BALANCING CHALLENGES & OPPORTUNITIES



IN ASSOCIATION WITH Allscripts

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CMS Quality Incentive Programs



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ACA Passed

NEJM, 2013

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Medicare Strategy



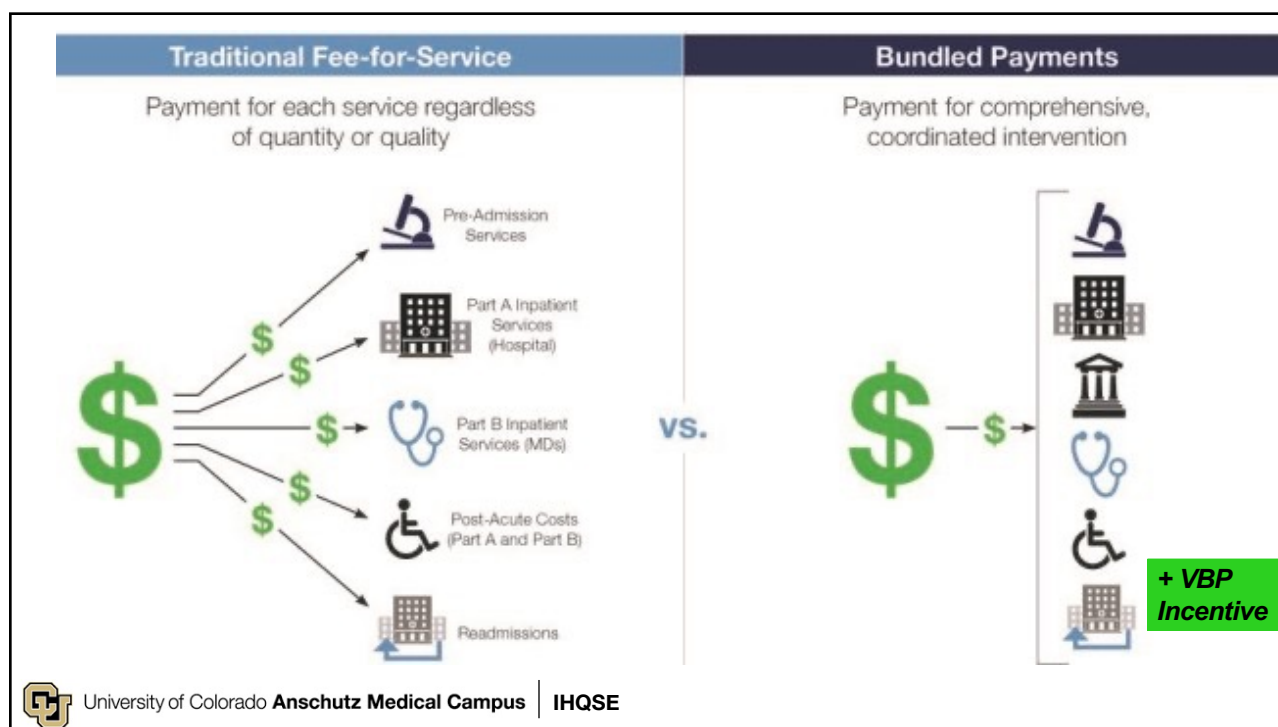
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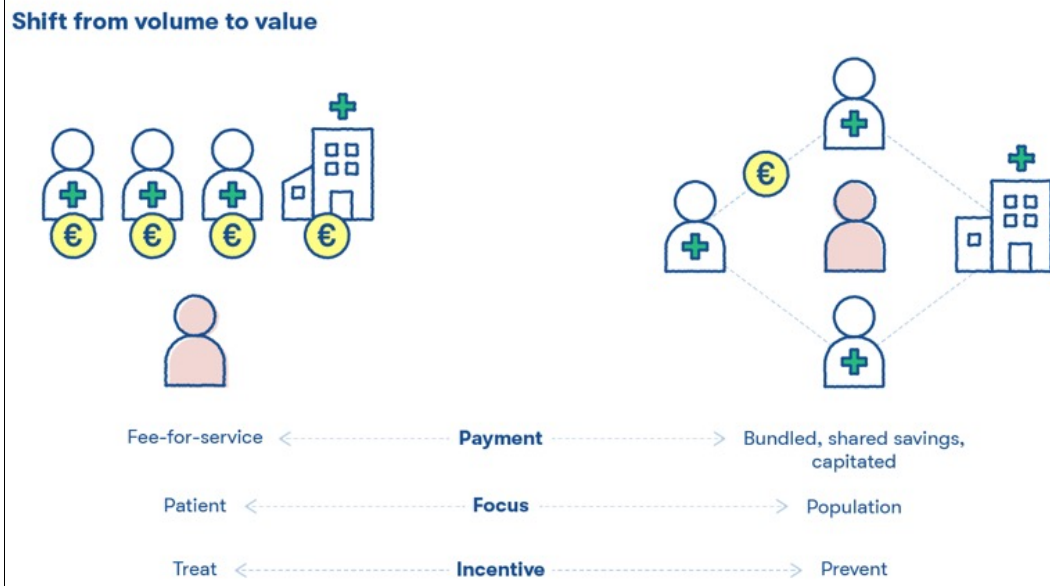


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Value Based Programs



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Manager of “Alternative” Payment

Accountable Care Organizations



JAMA The Journal of the American Medical Association

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October 20, 2010, Vol 304, No. 15 >

< Previous Article Next Article >

Commentary | October 20, 2010

Accountable Care Organizations Accountable for What, to Whom, and How

Elliott S. Fisher, MD, MPH; Stephen M. Shortell, PhD, MPH, MBA

[+ Author Affiliations]

JAMA. 2010;304(15):1715-1716. doi:10.1001/jama.2010.1513. Text Size: A A A

Article References

Interest in accountable care organizations (ACOs) has increased dramatically with the passage of the Affordable Care Act, which establishes ACOs as a new payment model under Medicare and fosters pilot programs to extend the model to private payers and Medicaid. Proponents hope that ACOs will allow physicians, hospitals, and other clinicians and health care organizations to work more effectively together to both improve quality and slow spending growth.¹ Skeptics are concerned that ACOs will focus narrowly on their bottom line and either stint on needed care or use the leverage they achieve through local integration to demand unreasonable prices from payers.

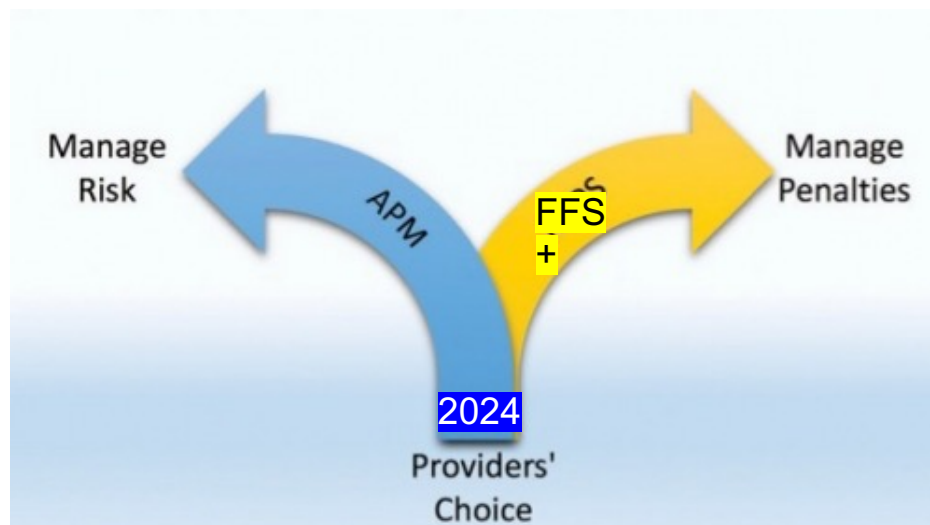
Whether ACOs achieve their ambitious promise remains far from certain.² It is likely that the success of ACOs (and the many other payment-reform initiatives included in the Affordable Care Act) will depend in large part on whether the Centers for Medicare & Medicaid Services, private payers, physicians, and health system leaders can work together to establish a tightly linked performance measurement and evaluation framework that not only ensures accountability to patients and payers, but also supports rapid learning, timely correction of policy and organizational missteps, and broad dissemination of successful organizational and practice innovations.³ Because ACOs are likely to be one of the first major payment reform initiatives to be put in place, the measurement framework established for ACOs could also provide a foundation for evaluating other reforms.

IMPROVING PERFORMANCE MEASUREMENT

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Who Can Form ACO?

- Group practices
- Integrated delivery systems (e.g. Geisinger)
- Networks of individual practices. (e.g. IPA)
- Partnerships or joint venture arrangements between hospitals and other providers (e.g. PHO)
- Hospitals employing other providers
- Regional Collaborations of health providers (NC Community Connections 646 Project)



CMS.gov

Centers for Medicare & Medicaid Services

Blog

RSS Feed

Archives

Nov 07, 2022

The CMS Innovation Center’s Strategy to Support Person-centered, Value-based Specialty Care

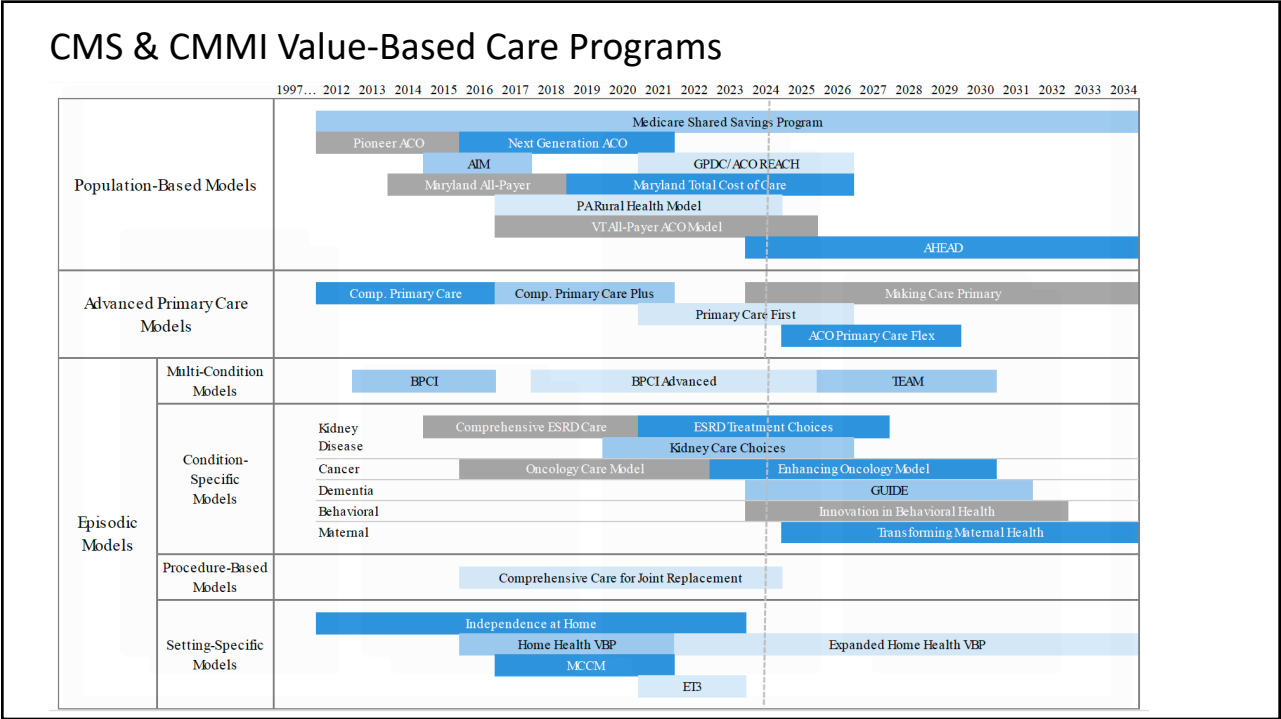
By: Liz Fowler, JD, PhD, Purva Rawal, PhD, Sarah Fogler, PhD; Brian Waldersen, MD, MPH; Meghan O’Connell, MPH; Jacob Quinton, MD, MSHS

Innovation modelsMedicaid & CHIPMedicare Parts A & B

Share

Background

In 2021, the Centers for Medicare & Medicaid Services (CMS) established a goal to have 100 percent of Original Medicare beneficiaries and the vast majority of Medicaid beneficiaries in accountable care relationships by 2030 as part of the Center for Medicare and Medicaid Innovation’s (Innovation Center) strategic refresh. This means that beneficiaries should experience longitudinal, accountable care with providers that are responsible for the quality and total cost of their care. Accountable care requires access to and coordination of primary care and specialty care to meet



Current State

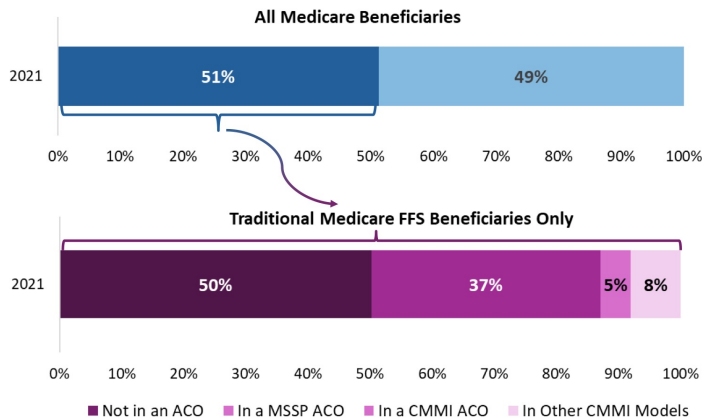
Medicare Beneficiaries in Alternative Payment Models (APMs), 2021

- As of 2021, half of Medicare beneficiaries (51%) were in traditional Medicare (FFS)

- Half of Medicare FFS beneficiaries (50%) were in APMs in 2021

* Note: This analysis includes 21 Advanced Payment Models (excluding Bundled Payments for Care Improvements Initiative (BPCI) and Comprehensive Care for Joint Replacement (CJR) Models)

Based on Analysis by ASPE and Acumen LLC in support of PTAC

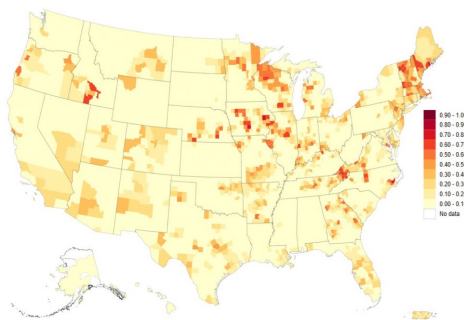


Source: [ASPE.gov](#)

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Significant Growth and Variation in APM Penetration Among Medicare Beneficiaries Across the United States, 2013-2022

CMMI or MSSP Penetration by County, 2013

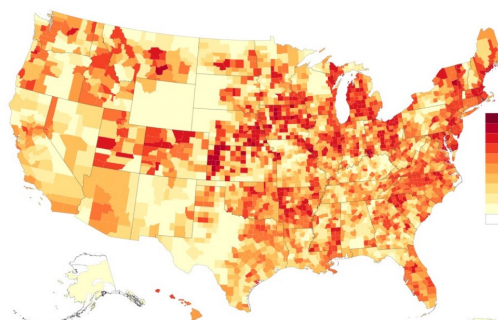


Average APM penetration rate in 2013 was 15%. Significant variation across counties (p10=0.5%, p50=11%, p90=35%).

Note: APM models includes 21 CMMI/MSSP models used in the analysis. Does not include BPCI and CJR.

230%

CMMI or MSSP Penetration by County, 2022



Average APM penetration rate in 2022 was 49%. Significant variation across counties (p10=20%, p50=51%, p90=70%)

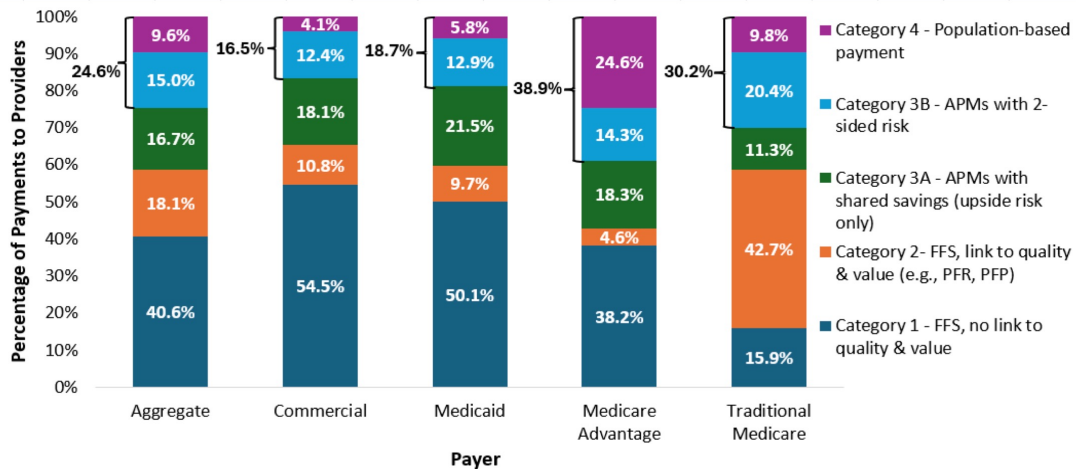
Based on Analysis by ASPE and Acumen LLC in support of PTAC

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Source: [ASPE.gov](#)

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Percentage of Payments to Providers by Alternative Payment Model (APM) Payment Category* and Payer Type, 2022



(29)

* Payment categories and data are from the Health Care Payment Learning & Action Network (HCP-LAN)



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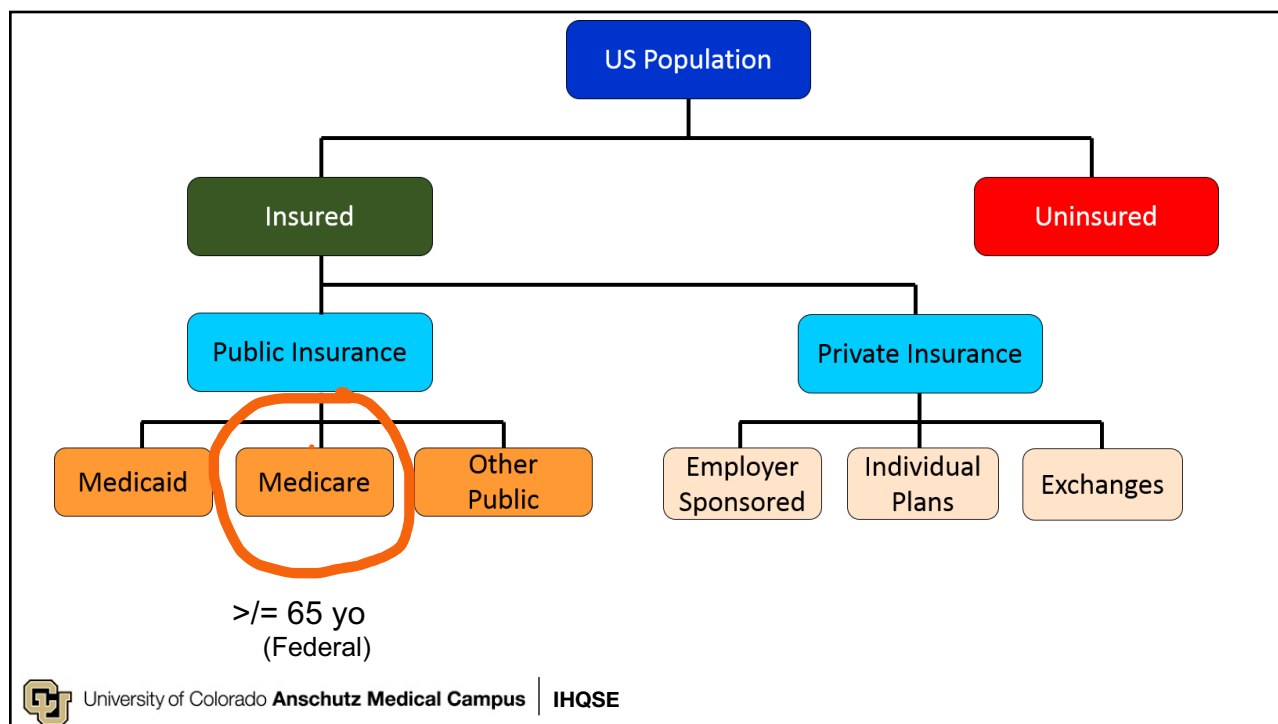
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Reference: [ASPE.gov](https://www.aspe.gov)

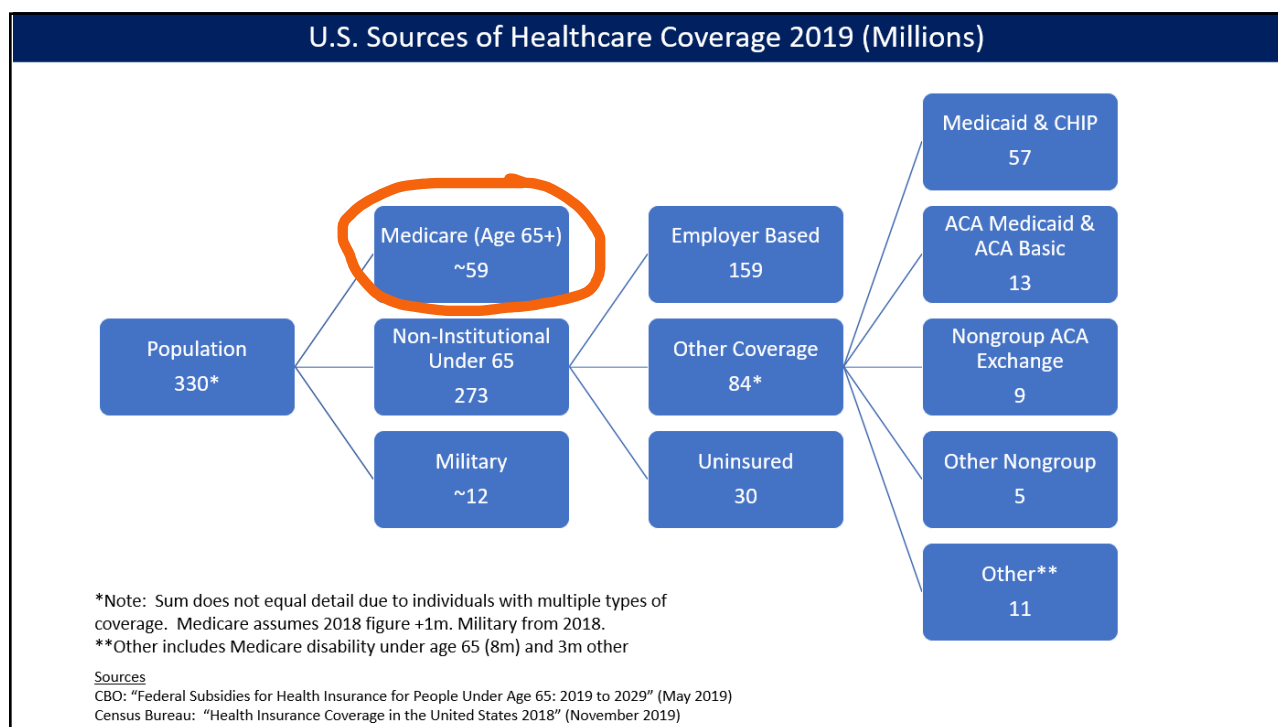
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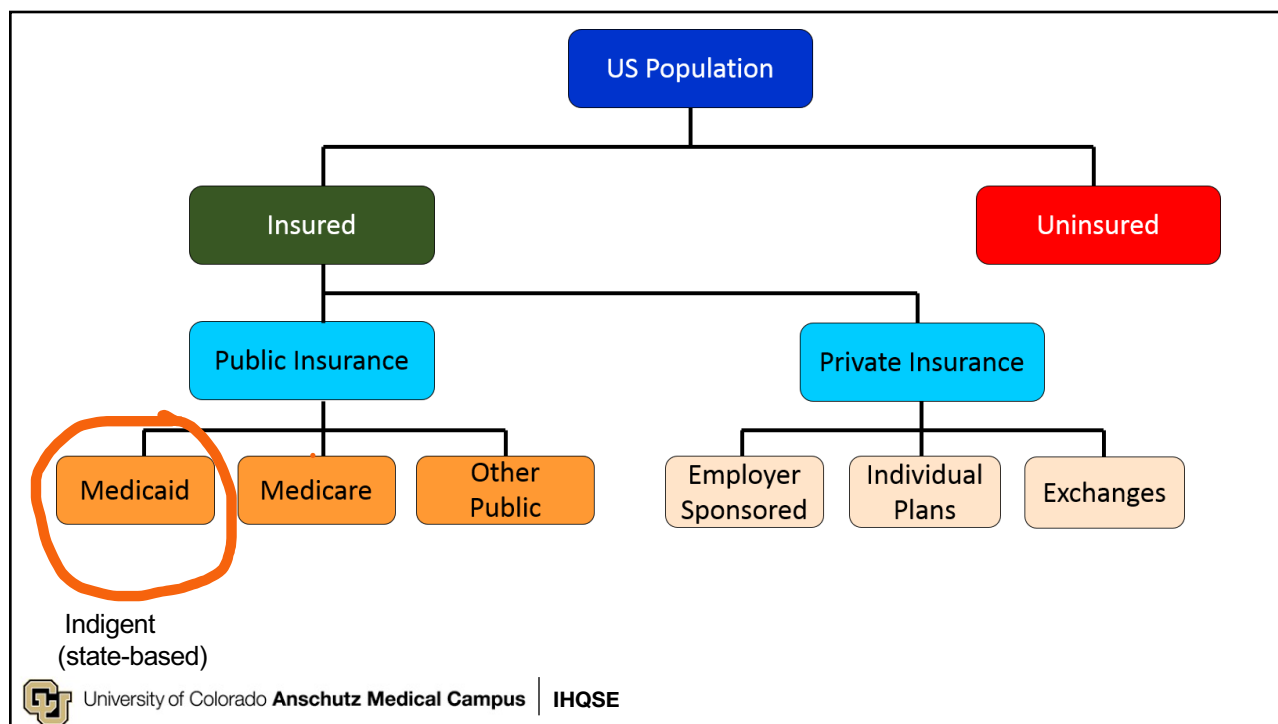
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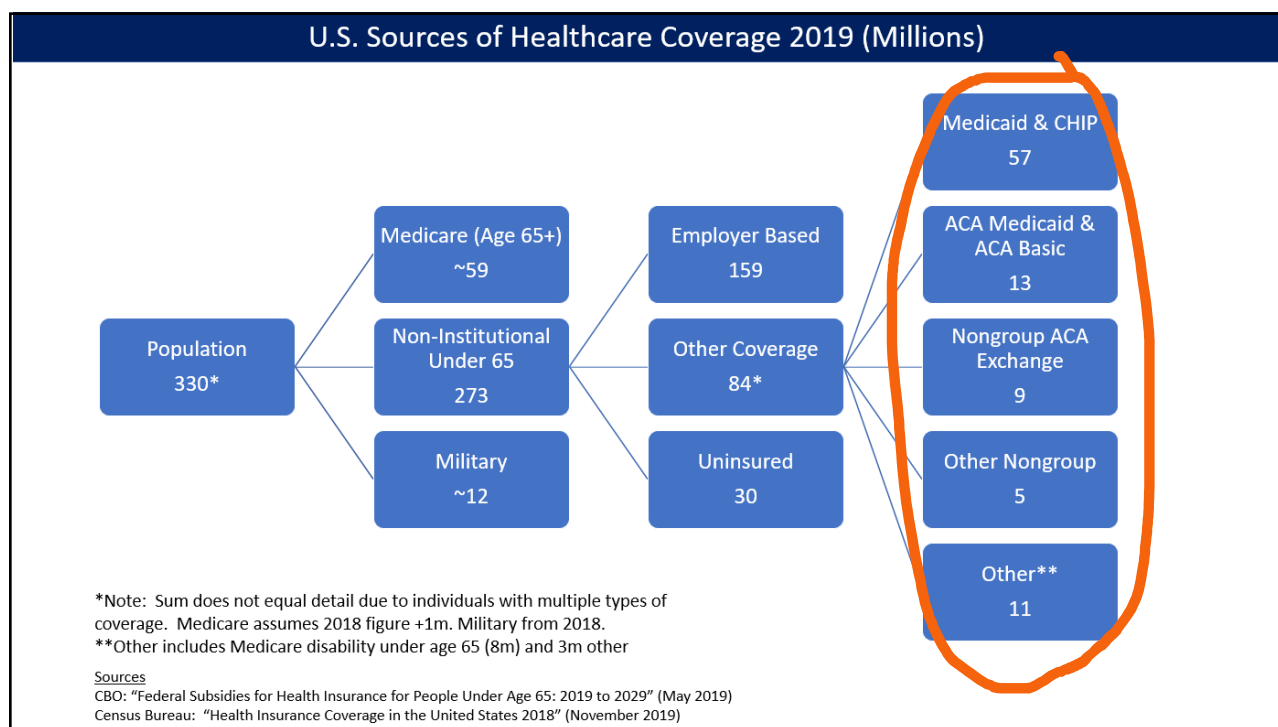
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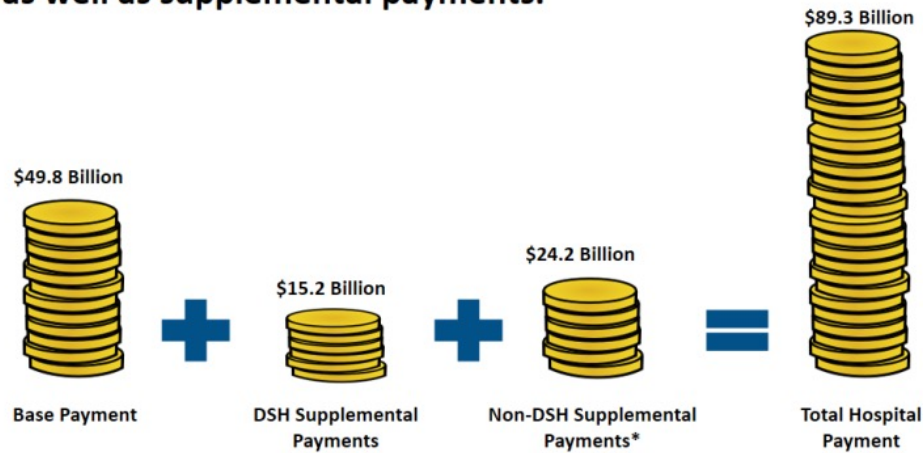


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Medicaid payment to hospitals consists of base payments as well as supplemental payments.



*Includes UPL, IGT, provider taxes and 1115 Waiver payments.

Note: Based on fee-for-service payments only. Data is for 2014.

Source: Medicaid and CHIP Payment and Access Commission. MACStats, Section 3, Exhibit 2. Medicaid Supplemental Payments to Hospital Providers by State, FY 2014, <https://www.macpac.gov/publication/mcicaid-supplemental-payments-to-hospital-providers-by-state/>.



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On Capitol Hill. Haiyun Jiang for The New York Times

Cutting Medicaid?

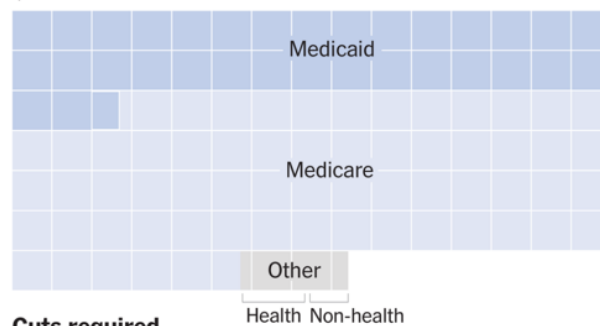


By Margot Sanger-Katz
I cover health care.

Republican leaders in Congress have directed the committee that oversees Medicaid to cut \$880 billion from the next budget. They say these cuts aren't necessarily *aimed* at Medicaid, the insurance program for 72 million poor and disabled Americans. The cuts could come from Medicare, for instance. But Trump has vowed not to touch that very popular program. And a sum this large can't come from anywhere else.

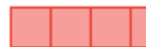
Spending overseen by the House Committee on Energy and Commerce

\$25 trillion



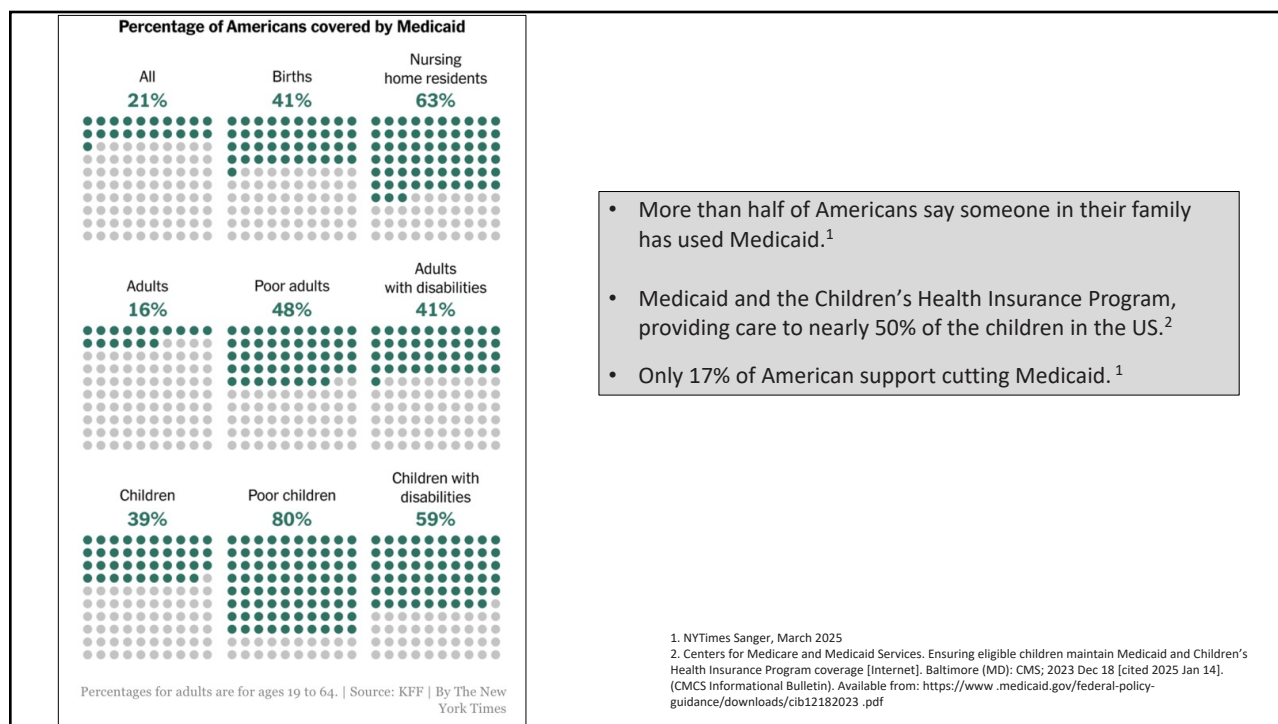
Cuts required

\$880 billion



Each square represents \$250 billion in 10-year gross mandatory spending. | Source: Analysis of federal budget data by Richard Kogan, Center on Budget and Policy Priorities | By The New York Times

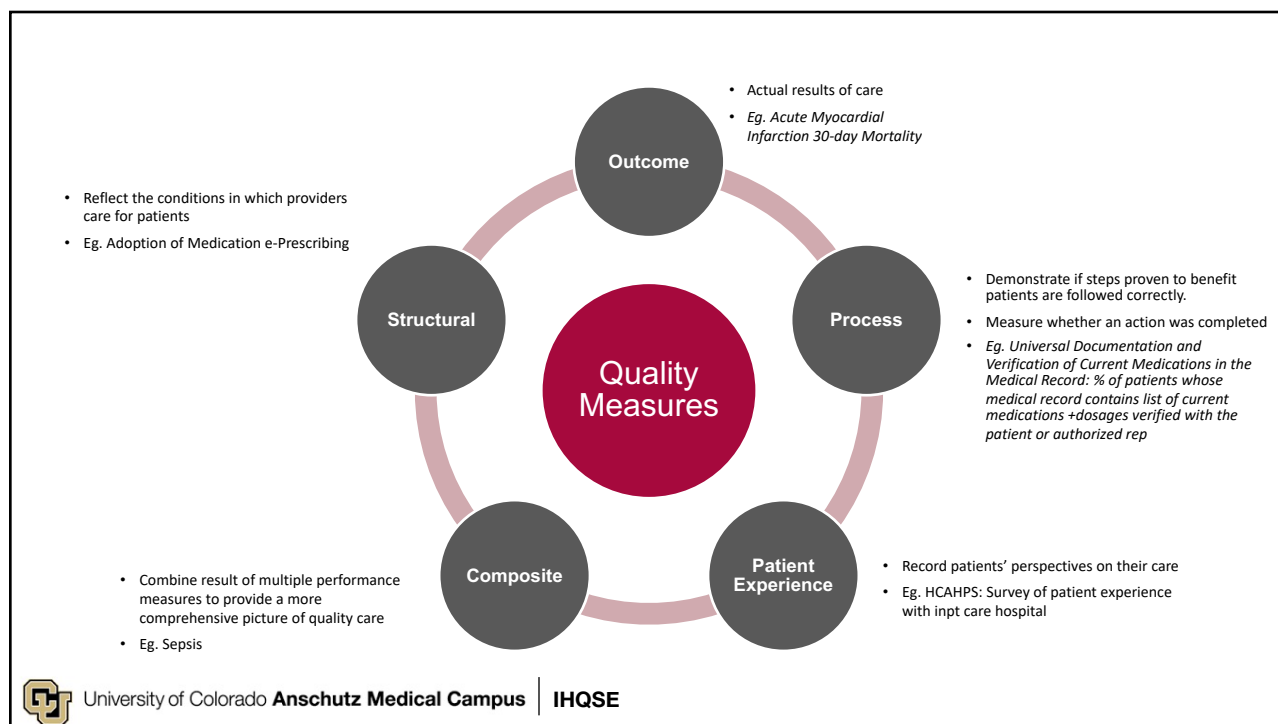
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Measure Developers

1. Government & Private Non-Profit



2. Insurance Carriers



3. Academic Institutions



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Measure Developers

4. Medical Specialty Societies



5. Contractors / Consultants (For Profit)

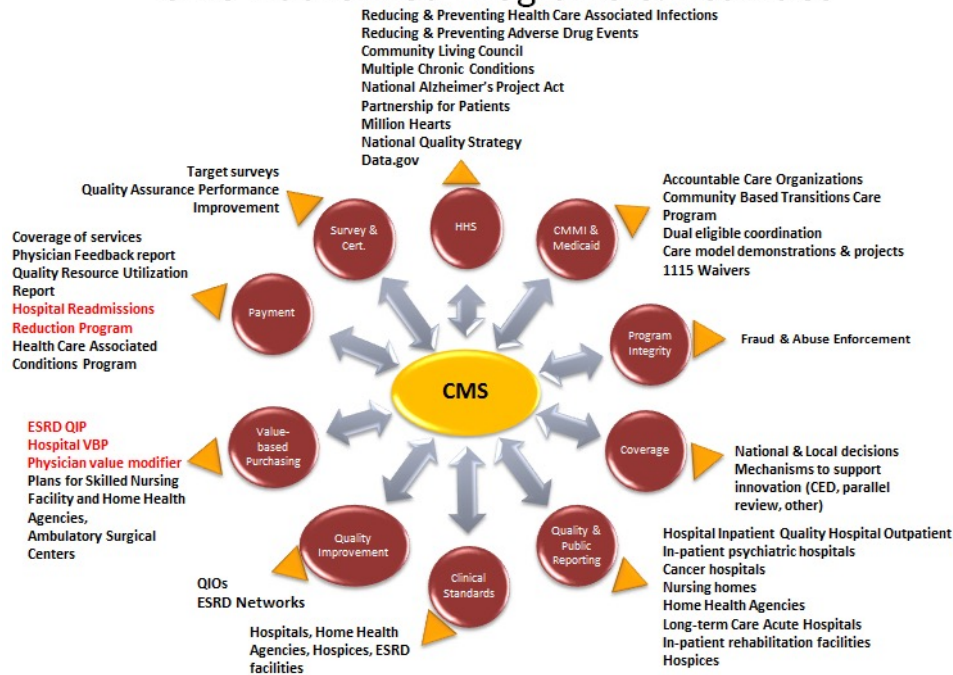


Booz | Allen | Hamilton



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CMS Authorized Programs & Activities



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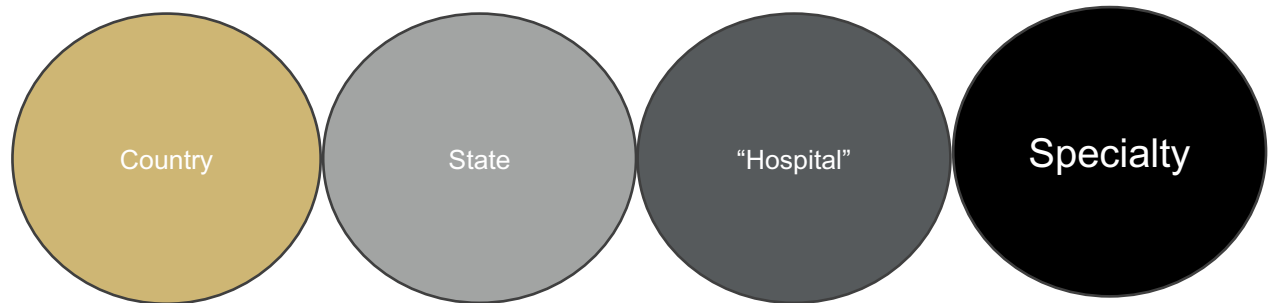
Summary of FY24 Measures

Crosswalk to Payers & Programs

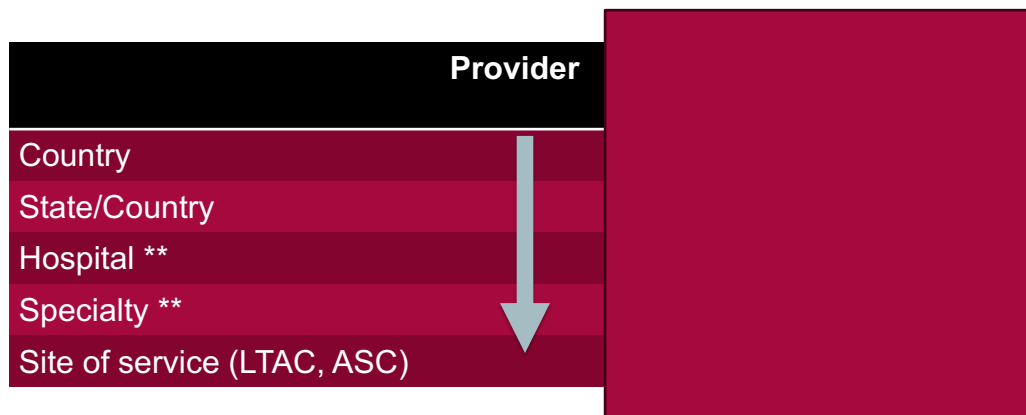
Measure	Vizient Q&A	CMS HAC	CMS-VBP	CMS Star Ratings	HQIP	Magnet	Leapfrog
Falls with Injury Rate						X	
PSI-03 Pressure Injuries	X	X		X		X	X
CLABSI SIR	X	X	X	X		X	X
CAUTI SIR	X	X	X	X		X	X
C-DIFF SIR	X	X	X	X	X		X
Hypoglycemia in Insulin Use	X						
SSI Colon	X	X	X	X			
SSI Hyst	X	X	X	X			
PSI-09 Post-op Hemorrhage or Hematoma	X	X		X			X
PSI-11 Post-op Respiratory Failure	X	X		X			X
PSI-12 Perioperative DVT or PE		X		X			X
PSI-13 Post-op Sepsis	X	X		X			X
Mortality	X						
HCAHPS: Responsiveness	X		X	X			X
HCAHPS: Discharge Information	X		X	X	X		X
HCAHPS: Communication about Meds	X		X	X	X		X
Readmissions	X						
Excess Days	X						

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Quality Rankings Perspective

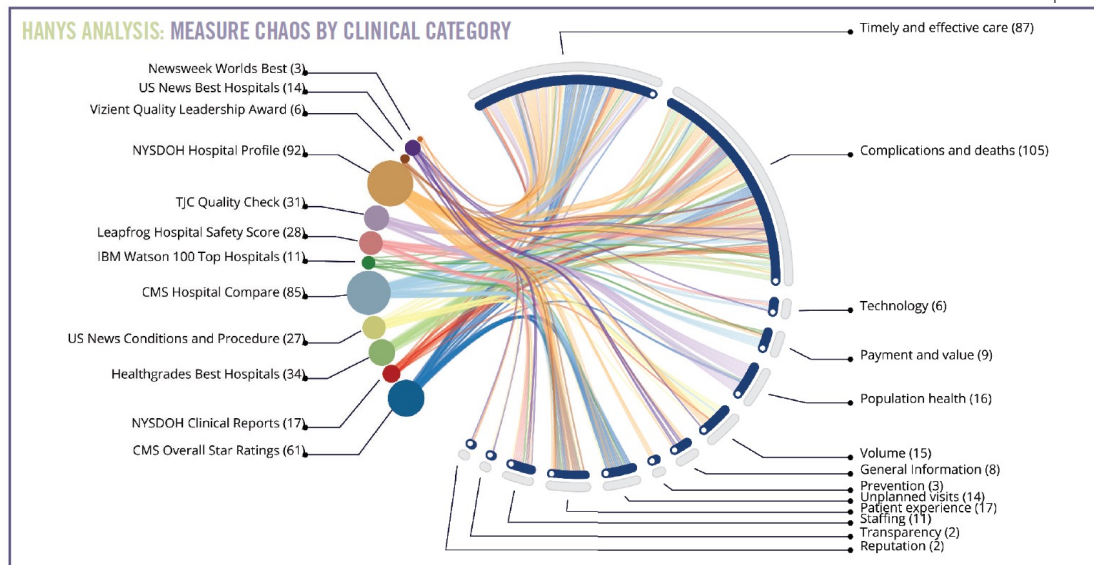


Quality (& Safety) Rankings Perspective



** TIN Dilution

“Popular HC” Q&S Measure Programs vs Measure Domain



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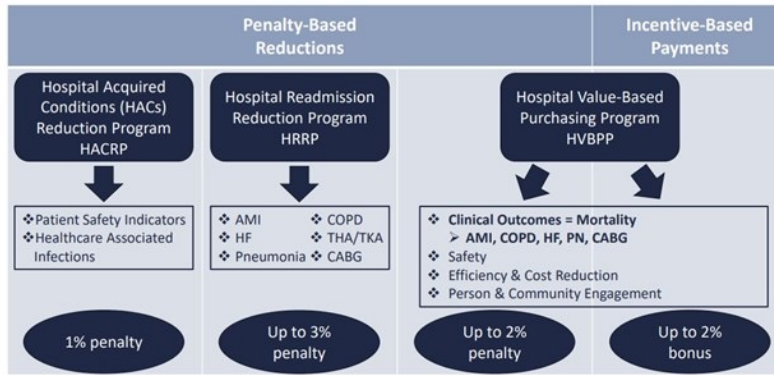
Quick Break Out (3 min)

- Name 3 quality measures that access care in your area?
 - *Do you have data about your area's performance?*

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Financial Impact

Direct Cost / Revenue



Indirect Cost / Revenue



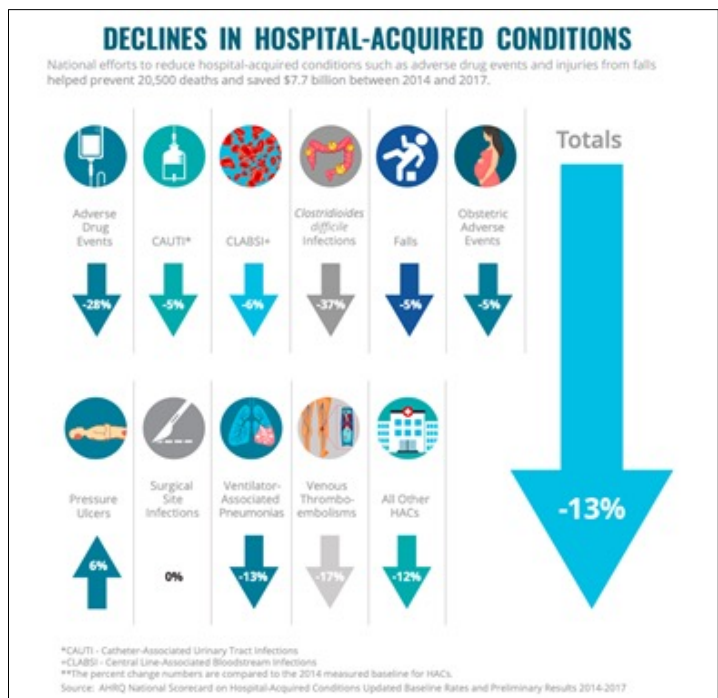
New Care Compare Tool from Medicare

The care compare tool helps you locate healthcare facilities that accept Medicare.



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Do Publicly Reported Quality Measures Make A Difference?



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Daily Briefing

Around the nation: Just 44% of healthcare quality measures have improved since 2000

Posted on March 16, 2023

Updated on March 17, 2023

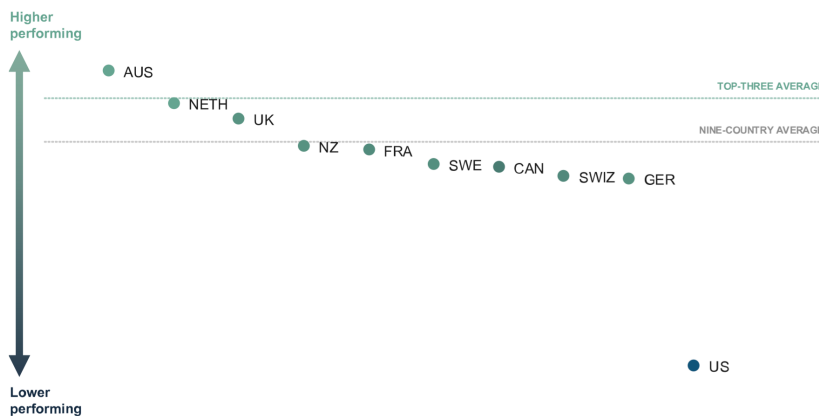
In the past two decades, only 44% of healthcare quality measures have improved, according to an updated report from the **Agency for Healthcare Research and Quality** (AHRQ), in today's bite-sized hospital and health industry news from California, the District of Columbia, and Maryland.



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The United States lags its international peers considerably on health system performance.



Note: To normalize performance scores across countries, each score is the calculated standard deviation from a nine-country average that excludes the US. See "How We Conducted This Study" for more detail.

Data: Commonwealth Fund analysis.

Source: David Blumenthal et al., *Mirror, Mirror 2024: A Portrait of the Failing U.S. Health System – Comparing Performance in 10 Nations* (Commonwealth Fund, Sept. 2024). <https://doi.org/10.26099/ta0g-zp96>



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Review > JAMA. 2019 Oct 15;322(15):1501-1509. doi: 10.1001/jama.2019.13978.

Waste in the US Health Care System: Estimated Costs and Potential for Savings

William H Shrank¹, Teresa L Rogstad¹, Natasha Parekh²

Affiliations + expand

PMID: 31589283 DOI: 10.1001/jama.2019.13978

"...the estimated cost of waste in the US health care system ranged from \$760 billion to \$935 billion, accounting for approximately 25% of total health care spending."



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Shrank WH, Rogstad TL, Parekh N. Waste in the US health care system: estimated costs and potential for savings. JAMA. 2019;322(15): 1501-9.

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It is a challenge ...



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Additional Reading:

> [Health Aff \(Millwood\)](#). 2025 Feb;44(2):179-186. doi: 10.1377/hlthaff.2024.01007.
Epub 2025 Jan 22.

From Laggard To Leader: Why Health Care In The United States Is Failing, And How To Fix It

[Donald M Berwick](#)¹, [Elaine Batchlor](#)², [Dave A Chokshi](#)³, [Patricia Gabow](#)⁴, [Richard Gilfillan](#)⁵,
[Frederick Isasi](#)⁶, [Arnold Milstein](#)⁷, [Len M Nichols](#)⁸

Affiliations + expand

PMID: 39841945 DOI: [10.1377/hlthaff.2024.01007](#)

"...we present a partial road map for transforming the US health care system. We propose bold national goals: affordable and equitable care for all, an additional decade of healthy birthdays after retirement, elimination of racial and ethnic disparities in health, substantial reduction in health care expenditures, and, most important, improved health outcomes."



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Final thoughts.... 2019-2024

- ☐ Medicare will continue to drive market forces
- ☐ VBP programs have been slow to roll out (ACA repeal, covid)
- ☐ Cost reduction + will be the primary strategy (capitation+)
- ☐ Uninsured and high deductible will be left out
- ☐ There is some innovation in commercial space
- ☐ Mandatory & all payer programs need to be created to truly evaluate the impact of VBP programs on patient outcomes
- ☐ There is still opportunity to develop meaningful patient-centered process and outcome measures



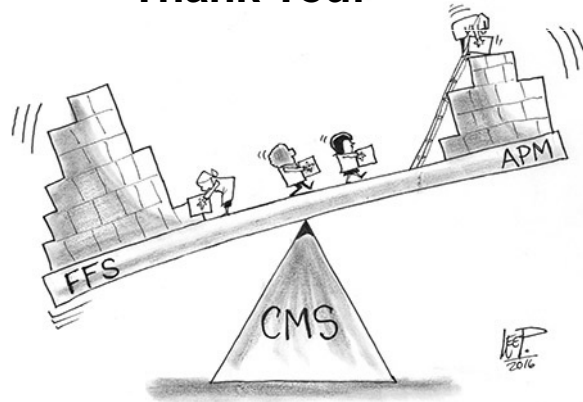
Final thoughts.... 2019-2024

Now

- | | |
|--|--|
| <ul style="list-style-type: none"> <input type="checkbox"/> Medicare will continue to drive market forces <input type="checkbox"/> VBP programs have been slow to roll out (ACA repeal, covid) <input type="checkbox"/> Cost reduction + will be the primary strategy (capitation+) <input type="checkbox"/> Uninsured and high deductible will be left out <input type="checkbox"/> There is some innovation in commercial space <input type="checkbox"/> Mandatory & all payer programs need to be created to truly evaluate the impact of VBP programs on patient outcomes <input type="checkbox"/> There is still opportunity to develop meaningful patient-centered process and outcome measures | <ul style="list-style-type: none"> <input type="checkbox"/> Commercial payer + Medicare will drive market forces <input type="checkbox"/> VBP programs will shut down or growth stop <input type="checkbox"/> Cost reduction + will be the primary strategy (capitation+) <input type="checkbox"/> Uninsured and high deductible will be left out <input type="checkbox"/> Innovation will focus on cost containment from Medicaid losses <input type="checkbox"/> Mandatory & all payer programs will not exist <input type="checkbox"/> There is still opportunity to develop meaningful patient-centered process and outcome measures but there will be little enforcement/program creation to use them |
|--|--|



Thank You!



Jennifer.Wiler@cuanschutz.edu

@DrJenniferWiler



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Evaluation



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Patrick Kneeland, MD
SFHM

VP of Medical Affairs
@DispatchHealth

Assistant Clinical Professor
of Medicine

Complexity vs. Chaos

Leadership and Teams



Institute for Healthcare Quality,
Safety and Efficiency
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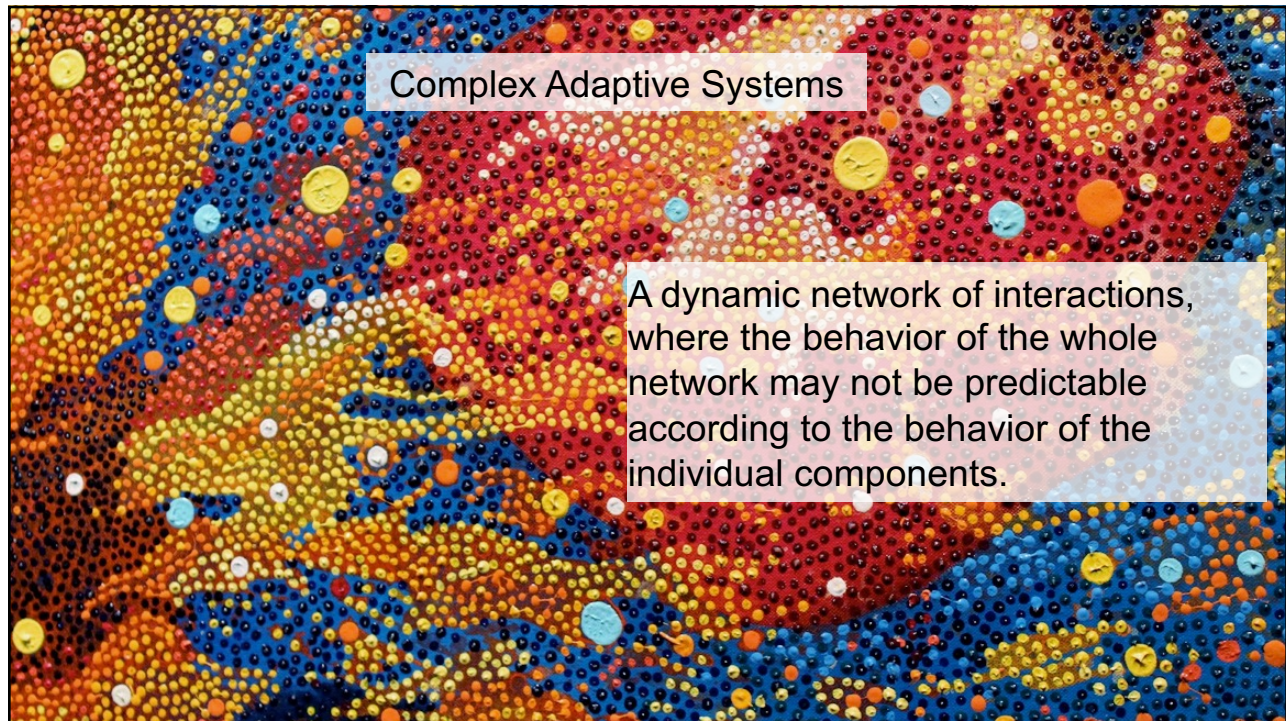
A background image for a slide titled "Complex Adaptive Systems". It features a dense, colorful pattern of small dots in yellow, orange, red, and blue, with larger, semi-transparent text boxes overlaid.

Complex Adaptive Systems

Nonlinear interactive components,
Emergent phenomena,
Continuous and discontinuous change,
and Unpredictable outcomes

Uncertainty and paradox are inherent




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Complex Adaptive Systems

A dynamic network of interactions, where the behavior of the whole network may not be predictable according to the behavior of the individual components.

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simple	complicated	complex
		
Inputs and outputs are known; a simple set of rules can be applied	Substantial uncertainties; solutions not known but are potentially knowable	We have a sense of what works, but the actual formula remains unknowable
<u>Examples:</u> Baking a cake Pre-procedural time-out Selecting the right antibiotics	<u>Examples:</u> Designing a rocket ship to fly to the moon Performing open heart surgery)	<u>Examples:</u> Raising a child Engaging staff to perform time-out 100% of the time Improving patient experience
<u>Interventions:</u> Recipes, checklists, charts	<u>Interventions:</u> Formulas, algorithms	<u>Interventions:</u> Formulas have limited utility Rules and policies stifle innovation Rapid cycles of innovative change needed



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Adapted from Wachter, Understanding Patient Safety, 2012

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- What are some examples of complex adaptive systems that you see in your daily work experience?
- What does an effective leader do in a complex system/organization?



complex

We have a general sense of what works, but the actual formula for success remains unknowable

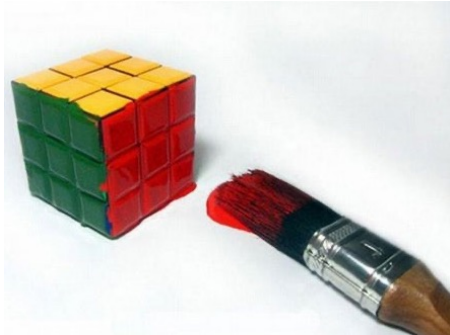
(raising a child, engaging the entire medical staff, creating a healthy workplace culture)

Formulas have limited utility, rules and policies may actually stifle innovation; rapid cycles of innovative change

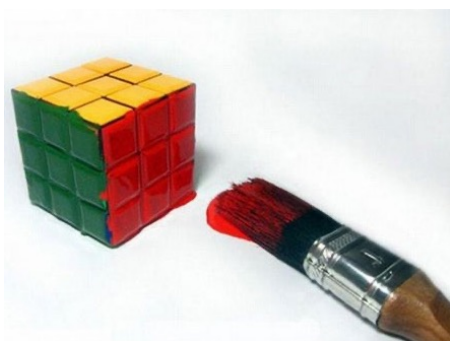
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Adapted from Wachter, Understanding Patient Safety, 2012

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Seek simplicity but don't trust it.



Seek simplicity but don't trust it.

Beware of this phrase:
"Why don't we just . . .?"



Levers for transformation



Leadership Tools to Leverage in Amid Complexity

Prioritizing “sense-making”

Doubling down on clarity where it exists

Acknowledging and managing polarities

Building culture by practicing behaviors individually and collectively



Leadership Tools to Leverage in Amid Complexity

Prioritizing “sense-making”

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Building culture by practicing behaviors individually and collectively



Prioritize “Sense-Making”

Deliberately connecting the dots for people through story and data

- For example:
 - Let's take a minute to examine how we got here
 - I want to share some data that may shed some light on where the bottle neck is
 - There is a lot we have to explore further, what do we know for sure?



Leadership Tools to Leverage in Amid Complexity

Prioritizing “sense-making”

Doubling down on clarity where it exists

Acknowledging and managing polarities

Building culture by practicing behaviors individually and collectively



Double-down on clarity around the things that are certain (not complex)

- For example:
 - This is the expected daily schedule for our interdisciplinary clinical teams
 - You are expected to complete the sign out document at the end of every shift before you leave
 - This is the field guide for care logistics
 - This is the reporting structure
 - These are the performance targets and why we are focusing on these
 - These are the dimensions by which your performance will be measured, and this is how we will check in to assess progress and performance



Leadership Tools to Leverage in Amid Complexity

Prioritizing “sense-making”

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Acknowledge and Manage Polarities

(The Inherent Paradoxes in Complex Systems)

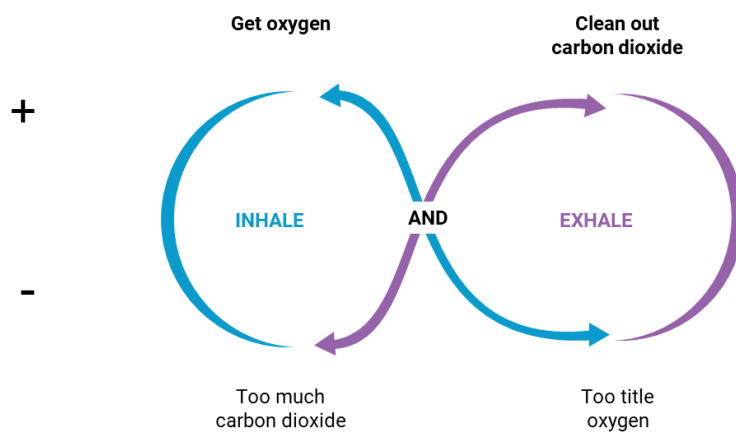


Acknowledge and Manage Polarities

Which is more important in breathing: Inhaling or Exhaling?



Acknowledge and Manage Polarities



Acknowledge and Manage Polarities

What are some polarities that come up frequently in our healthcare environments?

Acknowledge and Manage Polarities

- Safety and Efficiency
- Education and Cost effectiveness
- Quality and Cost of Care
- Patient Experience and Cost of Care
- Reliability/consistency and Personalized/customized care
- Tried & true and Innovation
- Tradition and Modernization (ie, in education and training)
- Transparency and Privacy
- Lead-time and Flexibility (ie, in clinical schedule)

Leadership Tools to Leverage in Amid Complexity

Prioritizing “sense-making”

Doubling down on clarity where it exists

Acknowledging and managing polarities

Building culture by practicing behaviors individually and collectively



Be deliberate about Culture Building



The role of “Culture” in complex adaptive systems



adapted from Michael Leonard MD at Safe and Reliable Care and Prof. Patrick Hudson, Univ. Leiden



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Where do we live most of the time?

How do these various models of culture land in the world of complex systems?



adapted from Michael Leonard MD at Safe and Reliable Care and Prof. Patrick Hudson, Univ. Leiden



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Culture = Behavior over time



adapted from Michael Leonard MD at Safe and Reliable Care and Prof. Patrick Hudson, Univ. Leiden



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Culture = Behavior over time

What behaviors?



adapted from Michael Leonard MD at Safe and Reliable Care and Prof. Patrick Hudson, Univ. Leiden

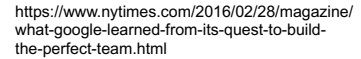


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2. The good teams all had **high “average social sensitivity”** — a fancy way of saying they were skilled at intuiting how others felt based on their tone of voice, their expressions and other nonverbal cues.



The #1 characteristic of leaders of highly functioning teams at google was . . .

Willingness to demonstrate vulnerability . . .



Behavior Practice 1: Powerful Questions



For most people,

? = Good

. = !

Why?



Powerful Questions

1. Open-ended
2. Non-judgmental
3. Answer is unknown to you
4. Exploratory



Behavior Practice 2: Learning-focused Debrief

1. What went well?
2. What did we learn?
3. What would we do differently next time?



Behavior Practice 3: Inviting and Sharing Story



Behavior Practice 4: Appreciation



Building a Generative Culture

Powerful Questions

Inviting story

Learning-focused debrief

Appreciations
(start with appreciative debrief)

GENERATIVE

Organization/team wired for continuous learning and improvement

PROACTIVE

Planning ahead using leading indicators, anticipating, solving problems

SYSTEMATIC

Consistent systems in place to fight fires

REACTIVE

Ad hoc fire-fighting – reacting to lagging indicators

UNMINDFUL

No awareness of need to improve

adapted from Michael Leonard MD at Safe and Reliable Care and Prof. Patrick Hudson, Univ. Leiden



Pro Tips

Start with practicing 1 or 2 of these first.

1. Powerful questions before jumping to solutions or assuming everything is already known
2. Appreciation – hardwire it into existing structures like huddles or staff meetings
3. Name Polarities when you see them: “Could it be that what we are circling around here is a polarity – where both things are true and valuable?”
4. Sharing Stories especially of a time when you found something difficult or “failed” and learned from it



Leading through Complexity: Summary



Leading through Complexity: Summary

We do most of our work and are being asked to lead change and improvement efforts in complex adaptive systems.



Leading through Complexity: Summary

We do most of our work and are being asked to lead change and improvement efforts in complex adaptive systems.

That is hard.



Leading through Complexity: Summary

We do most of our work and are leading change and improvement efforts in complex adaptive systems.

That is hard.

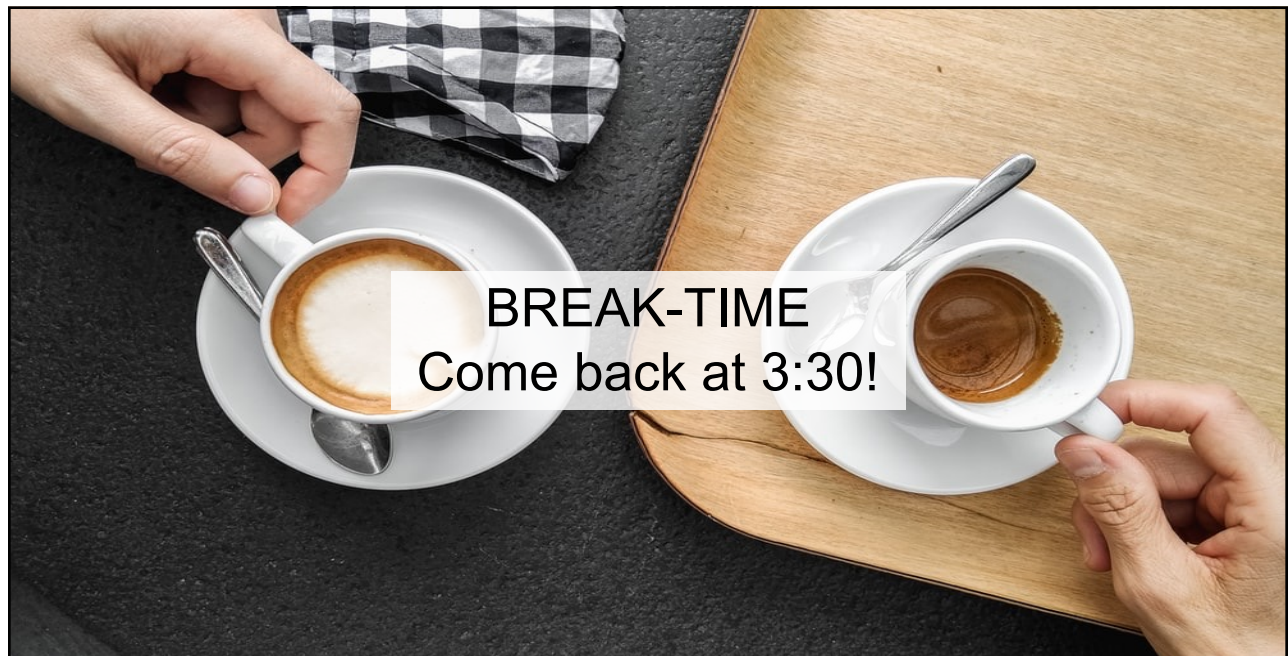
Deliberate approaches to leading through complexity include:

- Prioritizing “sense-making”

- Doubling down on clarity where it exists

- Acknowledging and managing polarities

- Building culture by practicing behaviors individually and collectively



Unconscious Bias & Being an Upstander

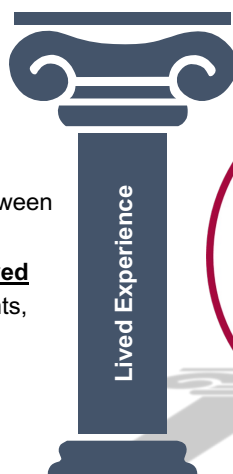
David A. Mafe
UCHealth
Chief Diversity Officer,
Vice President Human
Resources

 Institute for Healthcare Quality,
Safety and Efficiency
SCHOOL OF MEDICINE
UNIVERSITY OF COLORADO ANSCHUTZ MEDICAL CAMPUS

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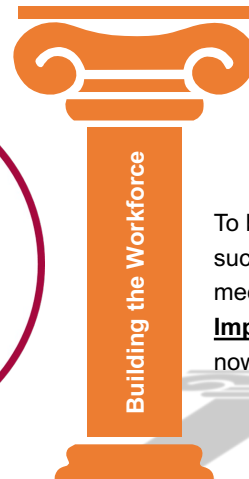
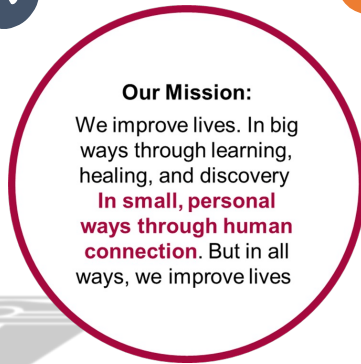
The Office of Diversity, Equity, and Inclusion

To close the **gap** between what **we say** about ourselves and the **lived experience** of patients, staff, and the communities that we serve.



Our Mission:

We improve lives. In big ways through learning, healing, and discovery
In small, personal ways through human connection. But in all ways, we improve lives



To build our workforce in such a way that we can meet our mission of **Improving Lives** both now and into the future.

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DEI - Defined

STANDARD DEFINITION

- **D = Diversity:** Is the presence of different types of people from a wide range of identities with different perspectives and experiences.
- **E = Equity:** Aims to identify and eliminate barriers that prevent the full participation of some groups. It means everyone is given equal treatment and access to opportunities for advancement.
- **I = Inclusion:** Means that everyone feels a part of their team and the larger organization, no matter what their identity. Inclusion is diversity in action.

WORKING DEFINITION

- D = Diversity: Is a fact.
- E = Equity: Is the work.
- I = Inclusion: Is a choice.

What is Unconscious Bias?

- Attitudes or stereotypes that affect our **understanding, actions, and decisions** in an **unconscious** manner. There are hundreds of different types of biases.
- Formed on a wide range of subjects including gender identity, race, appearance, socioeconomic status, weight, sexuality and more. They are based on an **individual's experiences and history**.
- We **instinctively categorize people** based on observed criteria to save mental energy. This allows us to focus more easily on other tasks.



Our unconscious bias can drive our interactions with our teams, patients, their families, and each other.



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If in healthcare, we improve lives,
how might bias impact our ability to live up
to this goal?

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Reflecting on your own leadership style,
how do you ensure objectivity in
decision making?



What are some of your specific practices in
encouraging a culture that challenges
assumptions and biases?



Being an Upstander



Upstander vs. Bystander

Bystander

An individual that observes or knows about incivil behavior but takes no action.

Upstander

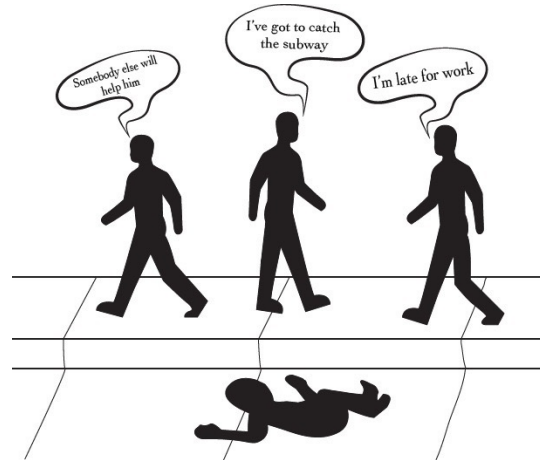
An individual that observes or knows about incivil behavior and ***then takes action to make it right.***



Why Be an Upstander

Bystander Effect: a social psychological theory where individuals are less likely to offer help to a victim when there are other people present.

How do you think the bystander effect might impact group dynamics and decision-making processes within our organization?



The Three D's of Being an Upstander:

Determine the correct action to take as an Upstander and apply these behaviors:

- **Direct:** A direct intervention is exactly as it says; a bystander confronts a situation. When safe, being direct is the most immediate way to intervene in a situation.
- **Distract:** Perhaps you don't want to address a situation directly then you can try to cause a distraction that will diffuse the situation and give a moment for things to calm down.
- **Delegate:** If you can't intervene directly in something because there is a barrier that makes you uncomfortable, then enlist some help.

Always remember to **check-in** with the individual (patient or staff) impacted by the behaviors.

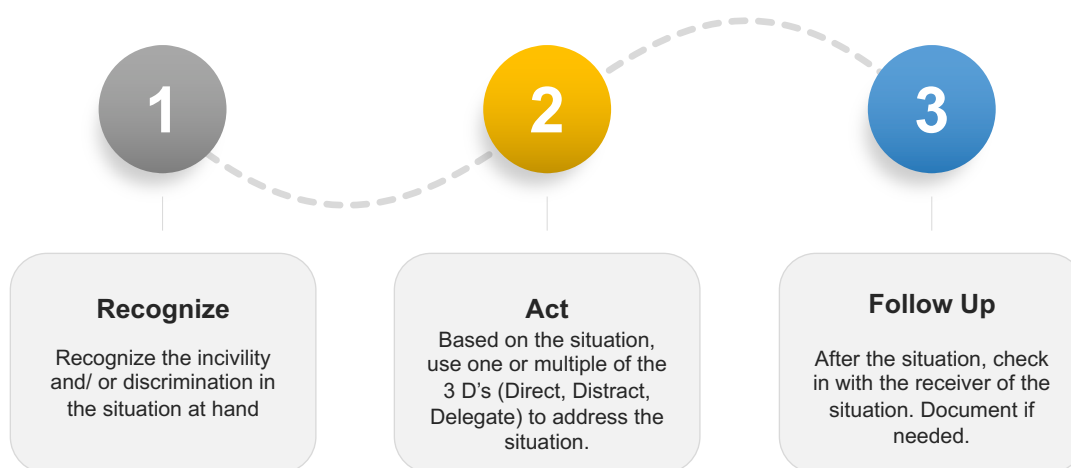
Ways to check-in:

- Acknowledge to the person who received the incivility that it was unacceptable and ask how they are doing.
- Follow-up with the individual and let them know if action was taken with the microaggressor.
- Ask if there is anything they need and let them know you are there for them.

Examples of the 3 D's



Being an Upstander: Review



Scenario Application



Scenario Discussions

After reading your scenario, determine the correct action to take as an Upstander and apply these behaviors:

What action would you take per the 3 “Ds”?

- **Direct; Distract; Delegate**

What words might you use?

What is the most appropriate next step?



Group Discussion



Scenario 1 Discussion

Sarah, a 35-year-old African American woman, has been receiving treatment for a chronic health condition at a local healthcare clinic for the past few months.

- a. During her most recent visit, you witness the following:
 - i. Sarah waits a longer time than usual for service.
 - ii. Staff members who are typically very attentive to patients, ignore Sarah and then become visibly agitated when she asks questions.
 - iii. Sarah seems defeated by these interactions.
- b. What actions do you take and why?
 - i. Direct, Delegate, Distract.
 - ii. What words might you use with the patient or members of the team?
 - iii. What is the appropriate next step?



Scenario 2 Discussion

A female attending physician accompanies her resident for a team meeting about the patient's care. The meeting includes some of the bedside nursing team who disagree with the current plan of care as it relates to goals of care. When in discussion, the attending physician notices that the male nurse makes little eye contact when she is speaking and continues to redirect their conversation to the male resident on the team.

- a. As the attending, what actions do you take and why?
 - i. Direct, Distract, Delegate.
- b. The attending, delegates this situation to you, the nurse manager. How will you approach this conversation with the nurse? What words will you use?
- c. During the conversation, the bedside nurse says, "I don't have a bias against women providers—my daughter wants to go to medical school!" How would you respond?

Scenario 3 Discussion

During morning rounds at the hospital, Dr. Smith leads a team of medical residents to review patient progress. As the team enters Sam's room, Dr. Smith assumes Sam's gender based on their appearance and addresses Sam using their birth name and repeatedly refers to them as "he," even after Sam has corrected him. You can see that Sam is feeling uncomfortable and seems hesitant to address the doctor in front of the team.

1. What actions do you take? Why?
 - i. Direct, Delegate, Distract.
2. What words might you use with the resident, patient or physician?
3. What is the most appropriate next step?

Debrief Questions

- How do you feel talking about these situations?
- What barriers do you feel you may face when being an Upstander?
- How can you best support your team in acting as Upstanders?



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Resources

Unconscious Bias Resources:

[Franklin Covey Unconscious Bias Self-Assessment](#)

[Combat Bias Activity Cards](#)

[Uncovering Unconscious Bias for Leaders: Pamela Fuller, Mark Murphy, and Anne Chow](#)

LinkedIn Learning:

[Addressing Unconscious Bias as a Leader](#)

[Uncovering Unconscious Bias in Recruiting and Interviewing](#)

[From Bystander to Upstander](#)

[Communicating about Culturally Sensitive Issues](#)

[Strategies to Foster Inclusive Language at Work](#)



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Appreciative Debrief

Share with the group one thing you found most intriguing from this session

Next Steps

- Due April 22 –
 - Create a series of short-term wins to support your project
 - Update data plan to include current state data

Date Assigned	Assignment	Due Date
#13 – Feb. 11, 2025	• Create plan for removing barriers to success	#15 – Mar. 11, 2025
#14 – Feb. 25, 2025	• No new assignments	
#15 – Mar. 11, 2025	• No new assignments	
#16 – April 1, 2025	• Create series of short-term wins to support project • Update data plan to include current state data	#18 – Apr. 22, 2025
#17 – Apr. 8, 2025	No new assignments	
#18 – Apr. 22, 2025	• Develop plan for sharing/spreading your work	#21 – June 10, 2025
#19 – May 13, 2025	• Plan for putting project into embed phase • Develop final report out	#20 / #21 – May 27 / June 10, 2025
#20 – May 27, 2025	No new assignments	
#21 – June 10, 2025	No new assignments	
#22 – June 24, 2025	No new assignments	

Evaluation

