# Ethical Considerations for Clinical Care on Short Term Medical Missions in Low and Middle Income Countries:

## A Scoping Review

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### Background

- Medical missions serve a large role in providing clinical care in low-and-middle income countries (LMICs) with more than 145,000 visiting physicians participating in provision of direct clinical care in one year alone.1
- Traveling professionals often rely on values and ethics not adapted for the circumstances found in LMIC's.5
- While ethics in medical research are clearly defined and regulated, clinical missions lack oversight.<sup>3</sup> Further, there is little research on the impact or practices of these missions which may identify harm.<sup>2</sup>
- Ethical guidelines are currently vague and their development relies on collaboration with host countries regarding complex ethical precepts.<sup>3, 4</sup>

### Aims

- To summarize available literature regarding ethical considerations pertaining to the provision of clinical care in LMICs.
- To use this analysis to develop guidelines for provision of ethical clinical care.

#### Methods

- Design: Scoping review of literature with search conducted May 2021.
- Inclusion Criteria: English language, published 2001-2021
- Exclusion Criteria: focus on disaster relief or long term volunteerism
- Screened by title →abstract →full text by two independent reviewers. Conflicts resolved by a third reviewer.
- PRISMA guidelines were followed throughout (Figure 1).
- 40 included articles were reviewed, summarized and thematically cataloged by each reviewer.

#### **Results: Themes**

Five most common themes identified and points of discussion include:

- Collaboration/Longitudinal Relationships
  - Host country and community
  - Other missions/NGOs
  - Local healthcare providers
- Education
  - Community Members
  - Visiting Healthcare Providers
  - Local Healthcare Providers
- Lack of Follow Up
  - Leads to poor outcomes
  - Communication/Referral to the local healthcare system
- Cultural Barriers
  - Language barriers and consent
  - Belief systems and ethical dissonance
  - Customs and hospitality
- Needs Assessment/Goal Setting
  - Evaluation of resources
  - Goals
  - Capacity Building

#### Identification of studies via databases and registers Records removed before screening: Records identified from: 3107 Duplicate records removed Databases (n = 4) Records marked as ineligible Registers (n = 0)by automation tools (n = 0)Records removed for other reasons (n =0\_) Records excluded\* Records screened by title (n = 3072)(n = 2634)Records screened by abstract Records excluded (n = 438)(n = 324)Records assessed for eligibility Records excluded: 79 (n = 119)Studies included in review Reports of included studies

Figure 1: PRISMA flow diagram of screening and review process.

## Results: Developed Guidelines

- Begin with conducting a\_collaborative needs assessment with the host country stakeholders.
  - Consider identifying a community leader for outreach
- Use needs assessment to guide goal setting together with the host country professionals
  - Consider goals which aim to build local capacity
  - Evaluate goals to ensure that they don't impede local systems or duplicate local efforts
  - Ensure that goals are focused on needed interventions and are attainable
  - Solicit educational goals from the host country professionals- i.e. specialty education, procedural
  - Identify educational goals for visiting professionals- i.e. gaining knowledge of local illness or beliefs
- Conduct pre departure planning to develop a framework to achieve set goals, including:
  - Inventory of available resources, including those needed for follow-up
- Scope and level of training of volunteers
  - Deference to host country professionals as local experts
  - Host country professionals should lead clinical care, visiting professionals acting in support.
- Plan for outcome evaluation
- Components of consent for interventions and provision in local language
- Educational tools or handouts in local languages
- Conduct pre-departure training to include information on basic customs, work conditions and available resources, basic language skills, and common medical conditions.
  - Ideally developed with locals from the host country
- Arrange for patient follow-up either with local resources or on a planned return trip
- Conduct outcome evaluation, use this to guide future efforts.
  - Ideally short term medical missions (STMMs) will return to the same community

#### Limitations

- Lack of level one evidence within the field of study.
  - Available level one evidence supported the themes identified.
- Complex issues of ethics with only level four and five evidence including commentary and expert opinion

#### Conclusions

- Ideally ethical review boards would oversee clinical care in LMICs
- This is difficult to arrange and enforce.
- Mission entities must be responsible for adherence to ethical care
- Considerations for collaboration, education, follow up, cultural barriers, and needs assessment should be a basic part of every missions planning.
- More research is required in this area for consensus to occur

#### **Disclosures**

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- The research did not require ethics review due to lack of human participants. No protocol was filed.

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